# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION JUDGE HOLDERANGE

DIVINE TECHNOLOGY VENTURES, an Illinois general partnership,	MAGISTRATE JUDGE DENLOW
Plaintiff,	) 02C 7332 Civil Action No. 7332
v.  DIRECT DISTRIBUTIONS ENTERPRISES, INC. d/b/a MALLCOM CORP., a New York	) ) Jury Trial Demanded )
corporation,  Defendant.	OCT 1 5 2002

Plaintiff divine technology ventures ("divine") brings this complaint against defendant Direct Distributions Enterprises, Inc. d/b/a Mallcom Corp. ("Mallcom") seeking damages, injunctive relief and other relief for patent infringement.

#### THE PARTIES

- divine is an Illinois general partnership with its principal place of business at 1301
   North Elston Avenue, Chicago, Illinois.
- 2. Upon information and belief, Mallcom is a New York corporation with its principal place of business at 7 Mayflower Place, Floral Park, New York.
- 3. Upon information and belief, Mallcom creates Web-based "malls," which are uploaded to clients' respective sites, through which customers in this District and elsewhere may order electronics, DVDs, flowers, holiday gifts, cell phones and services, adult entertainment and other merchandise. Mallcom also maintains, monitors and fulfills orders generated from these interactive sites. Mallcom operates several such sites, including the site located at www.4adultdvd.com among others (collectively "Mallcom Sites").

- 4. Mallcom resides and conducts continuous and systematic business in Illinois and this District. For example, it: (a) routinely offers to sell to and accepts orders from persons in Illinois via the Mallcom Sites; and (b) promotes goods and services to, and solicits contact from, persons in Illinois via the Mallcom Sites.
- 5. Upon information and belief, Mallcom makes, uses, sells and/or offers for sale systems and processes to operate its business at the Mallcom Sites. In general, the Mallcom Sites include a network-based sales system that allows customers to purchase products over the Internet.

# JURISDICTION AND VENUE

- 6. This Court has jurisdiction over divine's patent infringement claims under 28 U.S.C. § 1331 and 1338(a).
- 7. This Court has personal jurisdiction over Mallcom because Mallcom has transacted and is transacting business in this District, both generally and regarding the allegations in this Complaint, and because Mallcom has committed tortious acts within this District.
- 8. Venue properly lies in this Court under 28 U.S.C. §§ 1391(b), (c) and 1400(b) because Mallcom is subject to personal jurisdiction and has committed acts of patent infringement in this judicial district.

# **COUNT I**

# Patent Infringement of U.S. Patent No. 5,715,314 – 35 U.S.C. § 271

- 9. divine realleges and incorporates by reference the allegations in Paragraphs 1 through 8.
- 10. On February 3, 1998, United States Letters Patent No. 5,715,314 ("314 Patent") was duly and legally issued for an invention entitled "Network Sales System." A true and correct copy of the '314 Patent is attached as PX1.

- 11. divine owns all rights, title and interest in and to the '314 Patent.
- 12. Mallcom has directly infringed the claims of the '314 Patent by making, using, selling and/or offering to sell its systems and processes to operate the Mallcom Sites.
- 13. divine gave written notice by letter dated August 29, 2002 to Mallcom of its infringement of the '314 Patent.
- 14. Mallcom will not stop making, using, selling and/or offering for sale its systems and processes to avoid infringing the '314 Patent.
- 15. Mallcom's infringement has been deliberate, willful and wanton and with full knowledge of the '314 Patent.
- 16. Mallcom's conduct has caused divine to suffer, and unless enjoined by the Court, will cause divine to continue to suffer damage to its operation, reputation and goodwill.
- 17. divine has no adequate remedy at law. Mallcom's conduct has caused and, if not enjoined, will continue to cause irreparable damage to divine. As a result of Mallcom's wrongful conduct, divine is entitled to injunctive relief.

# **COUNT II**

# Patent Infringement of U.S. Patent No. 5,909,492 – 35 U.S.C. § 271

- 18. divine realleges and incorporates by reference the allegations in Paragraphs 1 through 17.
- 19. On June 1, 1999, United States Letters Patent No. 5,909,492 ("492 Patent") was duly and legally issued for an invention entitled "Network Sales System." A true and correct copy of the '492 Patent is attached as PX2.
  - 20. divine owns all rights, title and interest in and to the '492 Patent.
- 21. Mallcom has directly infringed the claims of the '492 Patent by making, using, selling and/or offering to sell its systems and processes to operate the Mallcom Sites.

- 22. divine gave written notice by letter dated September 17, 2002 to Mallcom of its infringement of the '492 Patent.
- 23. Mallcom will not stop making, using, selling and/or offering for sale its systems and processes to avoid infringing the '492 Patent.
- 24. Mallcom's infringement has been deliberate, willful and wanton and with full knowledge of the '492 Patent.
- 25. Mallcom's conduct has caused divine to suffer, and unless enjoined by the Court, will cause divine to continue to suffer damage to its operation, reputation and goodwill.
- 26. divine has no adequate remedy at law. Mallcom's conduct has caused and, if not enjoined, will continue to cause irreparable damage to divine. As a result of Mallcom's wrongful conduct, divine is entitled to injunctive relief.

# RELIEF REQUESTED

WHEREFORE, divine requests that the Court enter a judgment in divine's favor and against Mallcom and provide divine the following relief:

- A. Order, adjudge and decree that Mallcom has infringed the '314 Patent in violation of 35 U.S.C. § 271;
- B. Order, adjudge and decree that Mallcom willfully and knowingly infringed the '314 Patent;
- C. Order, adjudge and decree that Mallcom's infringement of the '314 Patent is exceptional under 35 U.S.C. § 285;
- D. Order, adjudge and decree that Mallcom has infringed the '492 Patent in violation of 35 U.S.C. § 271;

- E. Order, adjudge and decree that Mallcom willfully and knowingly infringed the '492 Patent;
- F. Order, adjudge and decree that Mallcom's infringement of the '492 Patent is exceptional under 35 U.S.C. § 285;
- G. Issue preliminary and permanent injunctive relief prohibiting Mallcom and its respective parents, principals, officers, agents, affiliates, servants, attorneys, employees and all others in privity with it from:
  - (i) infringing the '314 Patent; and
  - (ii) infringing the '492 Patent;
- H. Award divine damages for patent infringement including prejudgment interest and costs against Mallcom under 35 U.S.C. § 284;
- Award divine three times its damages to compensate divine under 35 U.S.C. §
   284;
- J. Award divine its reasonable attorneys' fees under 35 U.S.C. § 285; and
- K. Award such other and further relief as the Court may deem just.

# **JURY DEMAND**

divine demands trial by jury.

Dated this 11th day of October 2002.

Respectfully submitted,

One of the Attorneys for Plaintiff

divine technology ventures

Michael J. Abernathy Robert M. Barrett Lisa A. Carroll Jason A. Engel BELL, BOYD & LLOYD LLC Three First National Plaza 70 West Madison Street, Suite 3300 Chicago, Illinois 60602 (312) 372-1121

# United States Patent 1191

Payne et al.

[11] Patent Number:

5,715,314

Date of Patent:

Feb. 3, 1998

[54] NETWORK SALES SYSTEM	[54]	NETWORK	SALES	SYSTEM
---------------------------	------	---------	-------	--------

[75] Inventors: Andrew C. Payne, Lincoln; Lawrence C. Stewart, Burlington; David J.

Mackie. Cambridge, all of Mass.

[73] Assignee: Open Market, Inc., Cambridge, Mass.

[21] Appl. No.: 328,133

[56]

Oct. 24, 1994 [22] Filed:

380/49; 380/50 [58] Field of Search ... . 380/4, 21, 23,

380/24, 25, 49, 50; 364/401, 406, 408, 284.4; 235/379, 380; 395/200.01, 200.02.

200.09, 925

# References Cited

#### U.S. PATENT DOCUMENTS

4,305,059	12/1981	Benton 340/825.33
4,578,530	3/1986	Zeidler.
4,734,858	3/1988	Schlafly 364/408
4,755,940	7/1988	Brachtl et al 364/408
4,775,935	10/1988	Yourick 364/401
4,795,890	1/1989	Goldman 235/380
4,799,156	1/1989	Shavit et al 364/401
4,812,628	3/1989	Boston et al 235/380
4,827,508	5/1989	Shear
4,922,521	5/1990	Krikke et al 379/95
4,935,870	6/1990	Burk, Jr. et al
4,947,028	8/1990	Gorog
4,977,595	12/1990	Ohtz et al
4,982,346	1/1991	Girouard et al 364/550
4,992,940	2/1991	Dworkin 364/401
5,025,373	6/1991	Keyser, Jr. et al 364/408
5,060,153	10/1991	Nakagawa
5,077,607	12/1991	Johnson et al

(List continued on next page.)

#### FOREIGN PATENT DOCUMENTS

0-542-298-A2	5/1993	European Pat. Off G07F 7/10
2102606	2/1983	United Kingdom G07F 7/10

WO 91/16691 10/1991 WIPO ... .... G07F 7/10 6/1995 WIPO . WO 95/16971

#### OTHER PUBLICATIONS

Rivest, R.L. et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems." Laboratory for Computer Science, Massachusetts Institute of Technology, Cambridge, Massachusetts, no date.

Bellcore Internal E-Mail, Nov. 24, 1993. Sirbu, Marvin A.; "Internet Billing Service Design and Prototype Implementation"; An Internet Billing Server; pp. 1-19, no date.

Payment Systems, "United States"; pp. 115-135, no date. National Westminster Bank Group Brochure; pp. 1-29, no

#### (List continued on next page.)

Primary Examiner-Bernart E. Gregory Attorney, Agent, or Firm-Fish & Richardson P.C.

#### ABSTRACT

A network-based sales system includes at least one buyer computer for operation by a user desiring to buy a product. at least one merchant computer, and at least one payment computer. The buyer computer, the merchant computer, and the payment computer are interconnected by a computer network. The buyer computer is programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to the payment computer that comprises a product identifier identifying the product. The payment computer is programmed to receive the payment message, to cause an access message to be created that comprises the product identifier and an access message authenticator based on a cryptographic key, and to cause the access message to be sent to the merchant computer. The merchant computer is programmed to receive the access message, to verify the access message authenticator to ensure that the access message authenticator was created using the cryptographic key, and to cause the product to be sent to the user desiring to buy the product.

# 48 Claims, 25 Drawing Sheets

Microfiche Appendix Included (1 Microfiche, 34 Pages)

buyer computer 12 merchant computer 14 payment computer 16 10 16 sertlement databasi network виует соптрина 21 shopping cart databas merchant computer <del>بر</del> 20 15 creation computer advertising document database digital advertisement database



#### U.S. PATENT DOCUMENTS

5,220,501	6/1993	Lawlor et al
5,247,575	9/1993	Sprague et al
5,305,195	4/1994	Murphy 364/401
5,336,870	8/1994	Hughes 235/379
5,341,429	8/1994	Stringer et al 380/23
5,347,632	9/1994	Filepp et al 395/200.09
5,351,186	9/1994	Bullock et al 364/401
5,351,293	9/1994	Michener et al 380/21
5,383,113	1/1995	Kight et al 364/401
5,414,833	5/1995	Hershey et al

#### OTHER PUBLICATIONS

Even et al.; "Electronic Wallet"; pp. 383-386; 1983. Okamoto et al.; "Universal Electronic Cash"; pp. 324-337; 1991.

Pfitzmann et al.; "How to Break and Repair a 'Provably Secure' Untraceable Payment System"; pp. 338-350; 1991. Intuit Corp Quicken User's Guide; "Paying Bills Electronically"; pp. 171-192, no date.

Compuserve International; Compuserve Information Service Users Guide; pp. 109-114; 1986.

Gifford, David; "Notes on Community Information Systems" MIT LCS TM-419; Dec., 1989.

Vittal, J. "Active Message Processing: Messages as Messengers"; pp. 175-195; 1981.

Bos et al.; "SmartCash: A Practical Electronic Payment System"; pp. 1-8; Aug. 1990.

American National Standard; "Financial Institution Retail Message Authentication"; ANSI X9.19; 1986.

American National Standard; "Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions"; ANSI X9.2; 1988.

Chaum et al., "Achieving Electronic Privacy"; Scientific American; pp. 319-327; 1988.

Bürk et al.; "Value Exchange Systems Enabling Security and Unobservability"; Computers & Security, 9; pp. 715-721; 1990.

Chaum et al.; "Untraceable Electronic Cash"; Advances in Cryptology; pp. 319-327; 1988.

Schamüller-Bichl, I.; "TC-Cards in High-Security Applications"; Selected Papers from the Smart Card 2000 Conference; Springer Verlag; pp. 177-199; 1991.

Newman, B.C.; "Proxy-Based Authorization and Accounting for Distributed Systems"; Proc. 13th Int. Conf. on Dist. Comp. Sys.; May. 1993.

Medvinsky et al.; "Electronic Currency for the Internet"; Electronic Markets; pp. 30-31, Sep., 1993.

Anderson, Ross J.; "UEPS—A Second Generation Electronic Wallet"; Proc. of the Second European Symposium on Research in Computer Security (ESORICS); Touluse, France; pp. 411-418. no date.

Anderson, Ross; "Why Cryptosystems Fail"; Proc. 1st Conf. Computer and Comm. Security; pp. 215-227; Nov., 1993. Dukach, Semyon; "SNPP: A Simple Network Payment Protocol"; MIT Laboratory for Computer Science; Cambridge, Massachusetts; 1993.

Medvinsky et al.; "NetCash: A Design for Practical Electronic Currency on the Internet"; Proc. 1st ACM Conf. on Comp. and Comm. Security; Nov., 1993.

Society for Worldwide Interbank Financial Telecommunications S.C.; "A S.W.I.F.T. Overview", no date.

Case Study: The CIRRUS Banking Network; Comm. ACM 8, 28' pp. 797-8078; Aug., 1985.

Intel Corporation; Power Technology; Marketig Brochure.

Page 8 of 81

Bender, M.; "EFTS: Electronic Funds Transfer Systems"; Kennikat Press; Port Washington, New York; pp. 43-46;

Abadi, M. et al.; "Authentication and Delegation with Smart-Cards" Report 67; Systems Research Center; Digital Equipment Corporation; Palo Alto, California; Oct. 22, 1990, revised Jul. 30, 1992.

Information Network Institute. Carnegie Mellon University; Internet Billing Server; Prototype Scope Document; Oct. 14.

Krajewski. M.; "Concept for a Smart Card Kerberos"; 15th National Computer Security Conference; Oct., 1992.

Krajewski, M.; "Smar Card Augmentation of Kerberos"; Privacy and Security Research Group Workshop on Network and Distributed System Security; Feb., 1993.

Krajewski, M. et al.; "Applicability of Smart Cards to Network User Authentication"; Computing Systems; vol. 7. No. 1; 1994.

Harty et al.; "Case Study: The VISA Transaction Processing System"; 1988.

International Organization for Standardization; "International Standard: Bank Card Originated Messages-Interchange Message Specifications-Content for Financial Transactions"; ISO 8583; 1987.

Rivest, R.; "The MD5 Message-Digest Algorithm"; MIT Laboratory for Computer Science and RSA Data Security. Inc.; Apr., 1992.

Voydock, Victor et al.; "Security Mechanisms in High-Level Network Protocols"; Computer Surveys; vol. 15. No. 2; Jun., 1981.

Needham, Roger M., "Adding Capability Access to Conventional File Servers"; Xerox Palo Alto Research Center; Palo Alto, California; no date.

Gligor, Virgil D. et al.; "Object Migration and Authentication"; IEEE Transactions on Software Engineering; vol. SE-5, No. 6; Nov., 1979.

Chaum, D.L. et al.; "Implementing Capability-Based Protection Using Encryption"; Electronics Research Laboratory. College of Engineering, University of California, Berkeley, California; Jul. 17, 1978.

Gifford, David K.; "Cryptographic Sealing for Information Secrecy and Authentication"; Stanford University and Xerox Palo Alto Research Center; Communications of the ACM; vol. 25, No. 4; Apr., 1982.

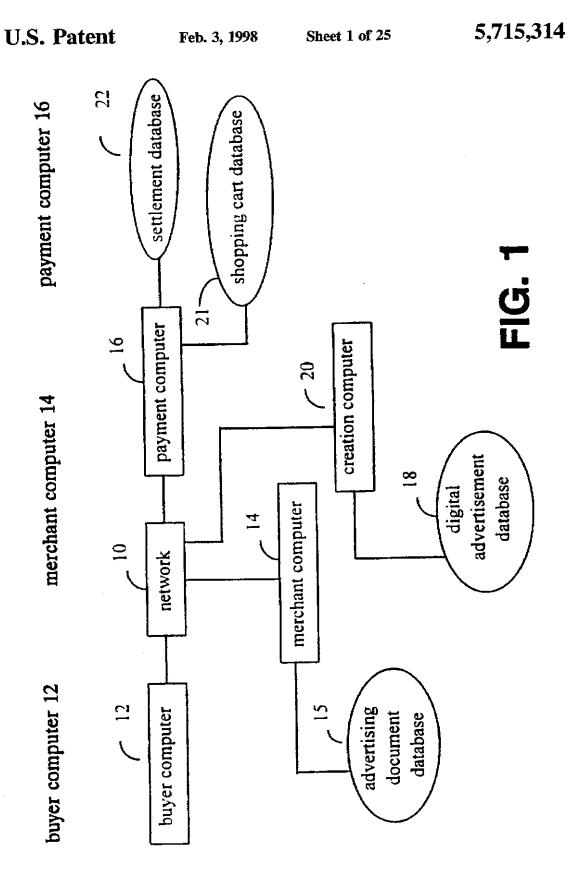
Mosaic Communications Corp. press release; "Mosaic Communications Unveils Network Navigator and Server Software for the Internet"; Sep. 12, 1994.

Rescorla, E. and Schiffman, A.; "The Secure HyperText Transfer Protocol"; Enterprise Integration Technologies;

Tenenbaum, Jay M. and Schiffman. Allan M.; "Development of Network Infrastructure and Services for Rapid Acquisition"; adapted from a white paper submitted to DARPA by MCC in collaboration with EIT and ISI.

Cohen, Danny; "Computerized Commerce"; ISI Reprint Series IS/RS-89-243; Oct., 1989; Reprinted from Information Processing 89, Proceedings of the IFIP World Computer Congress, held Aug. 28-Sep. 1 1989.

Cohen, Danny; "Electronic Commerce"; University of Southern California Information Sciences Institute. Research Report ISI/RR-89-244; Oct., 1989.



Feb. 3, 1998

Sheet 2 of 25

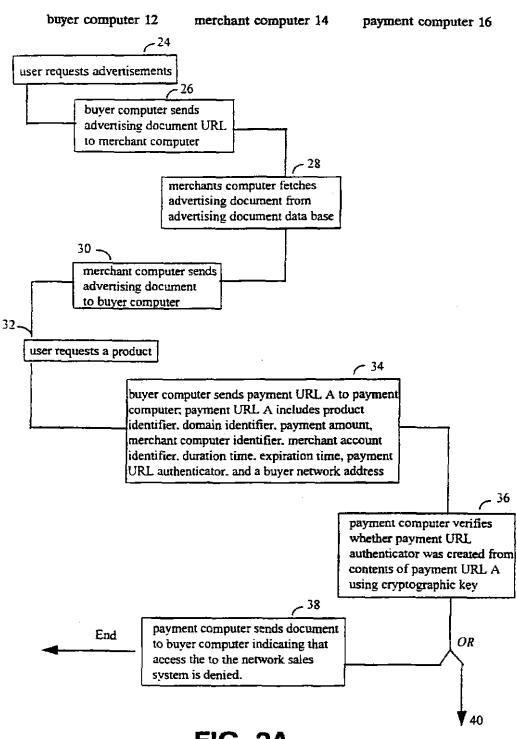


FIG. 2A

Feb. 3, 1998

Sheet 3 of 25

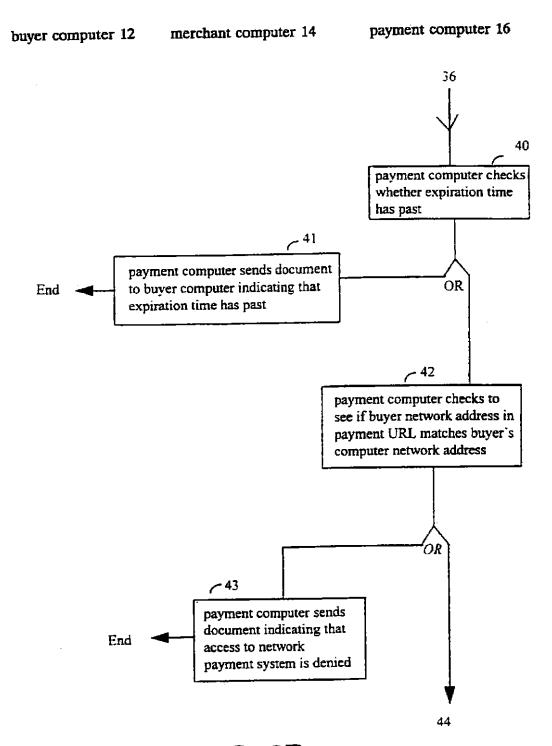
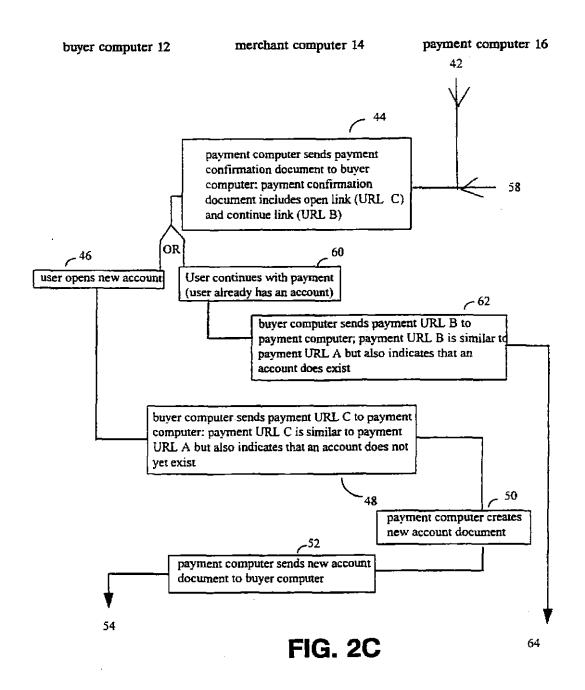


FIG. 2B

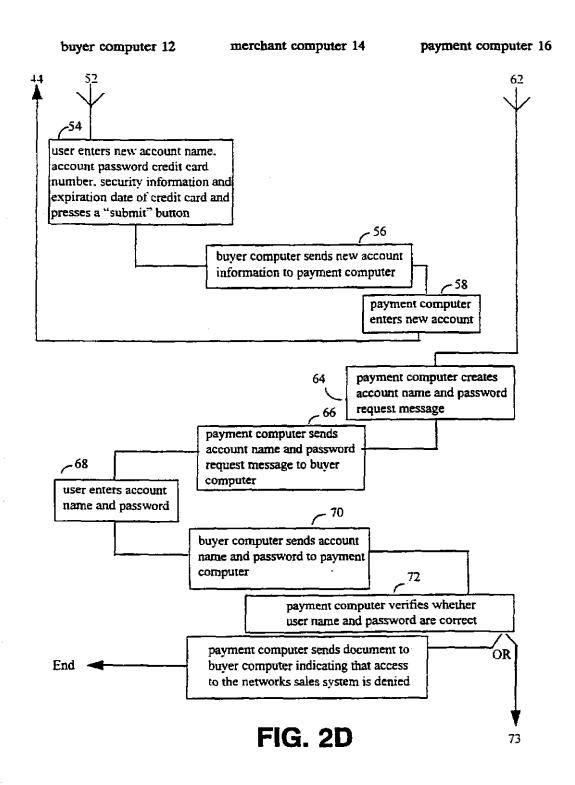
Feb. 3, 1998

Sheet 4 of 25



Feb. 3, 1998

Sheet 5 of 25



Feb. 3, 1998

Sheet 6 of 25

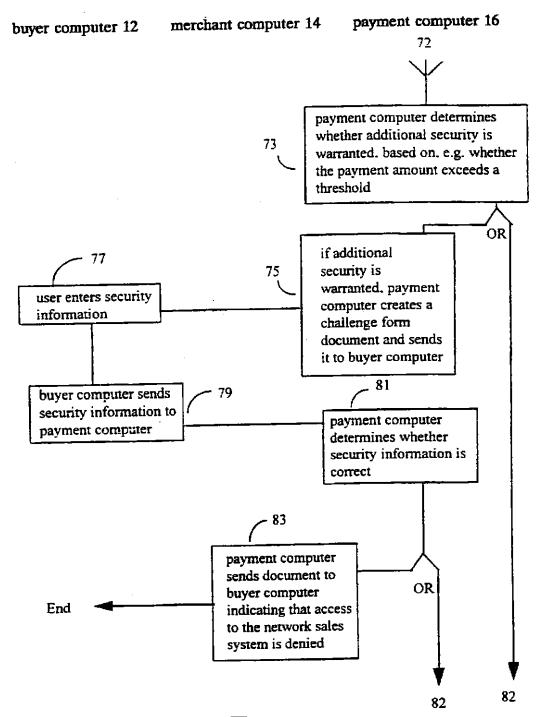


FIG. 2E

Feb. 3, 1998

Sheet 7 of 25

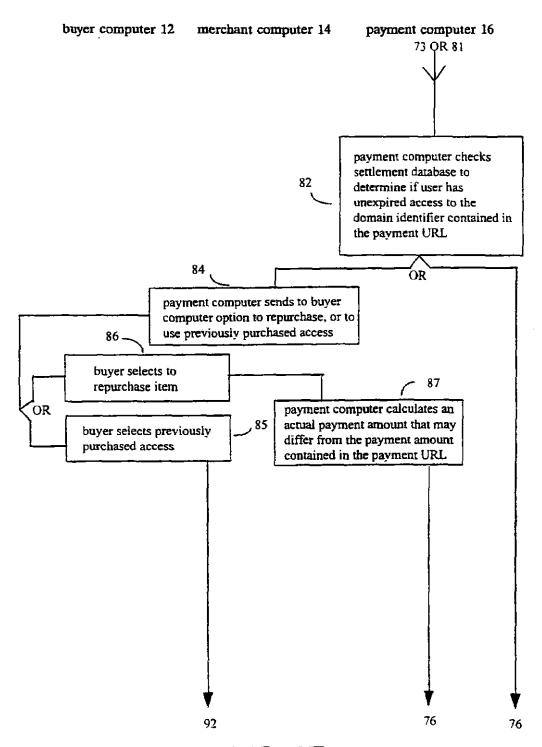


FIG. 2F

Feb. 3, 1998

Sheet 8 of 25

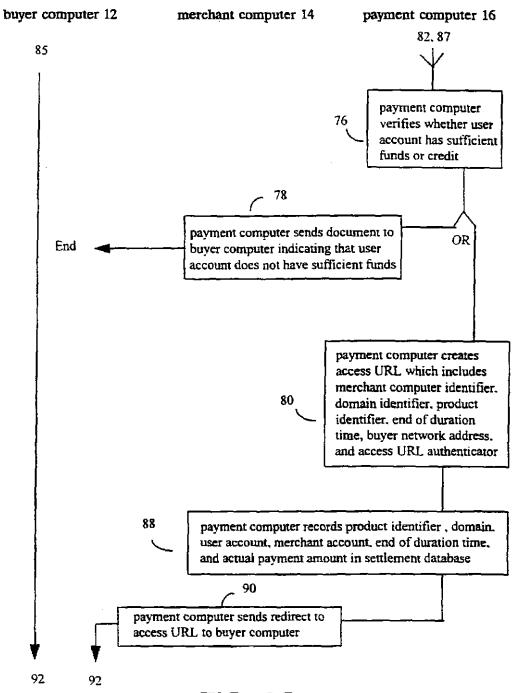
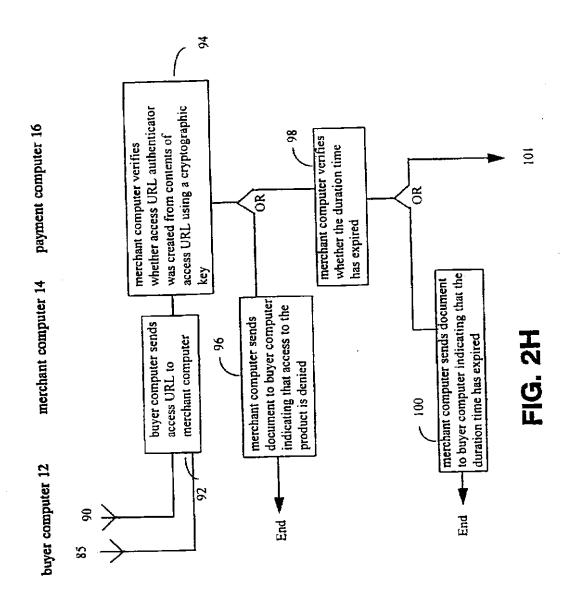


FIG. 2G

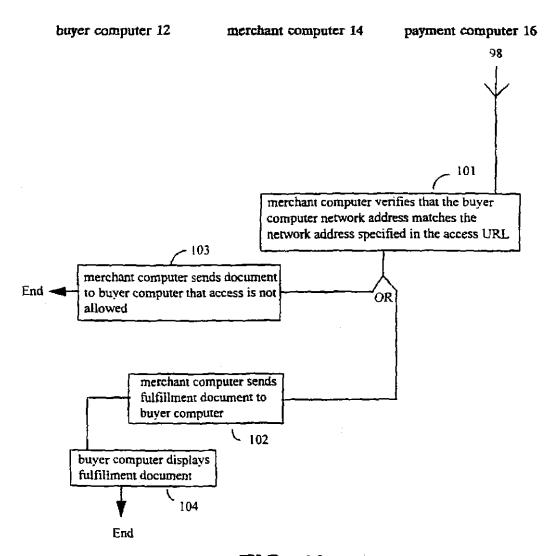
Feb. 3, 1998

Sheet 9 of 25



Feb. 3, 1998

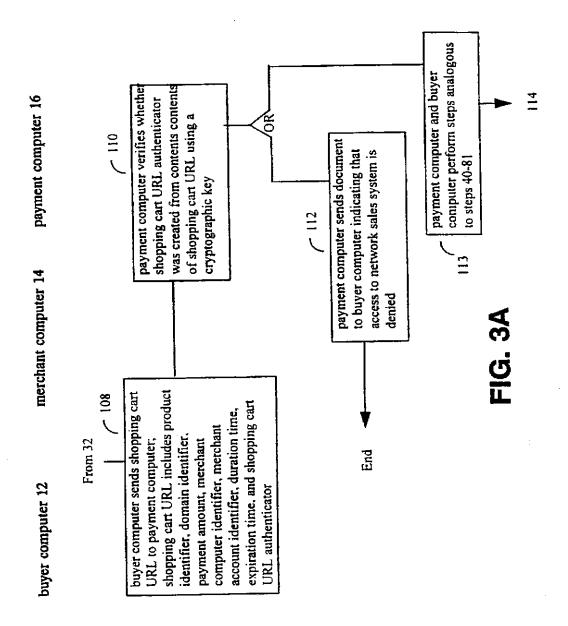
Sheet 10 of 25



**FIG. 21** 

Feb. 3, 1998

Sheet 11 of 25



Feb. 3, 1998

Sheet 12 of 25

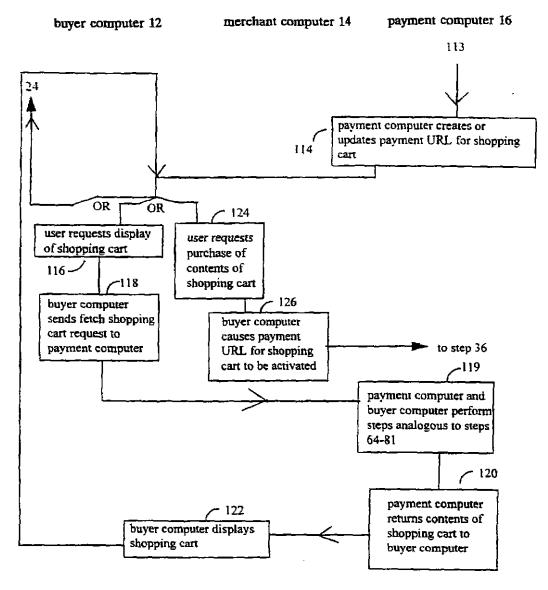
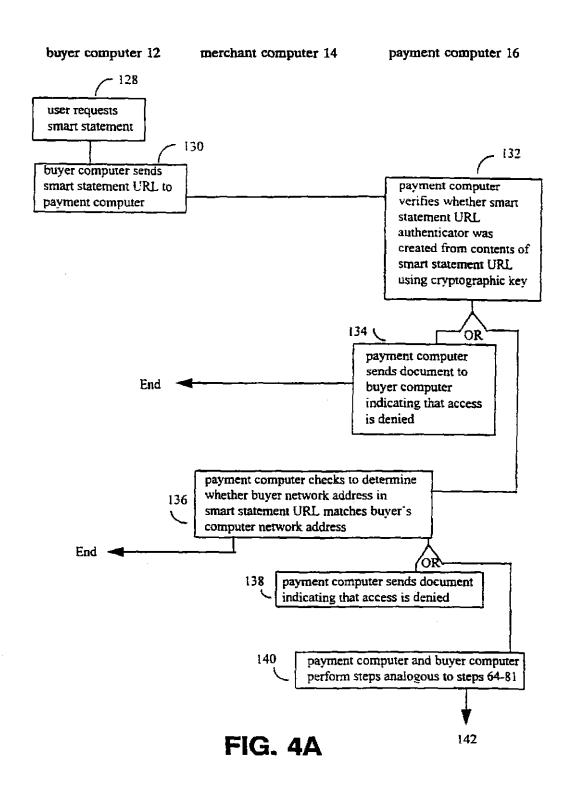


FIG. 3B

Feb. 3, 1998

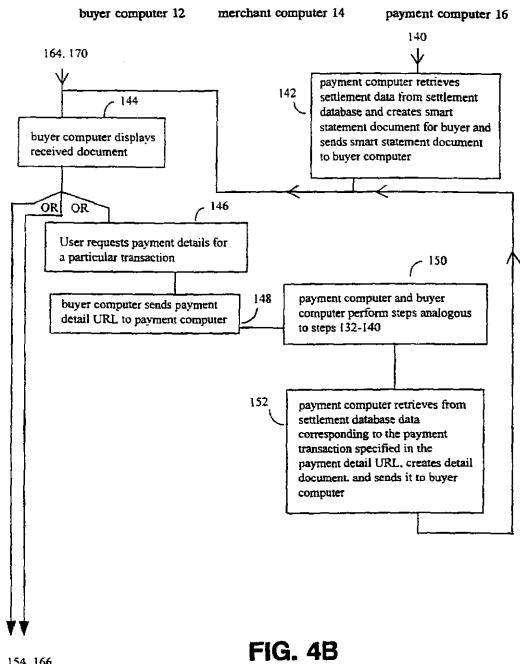
Sheet 13 of 25



Feb. 3, 1998

Sheet 14 of 25

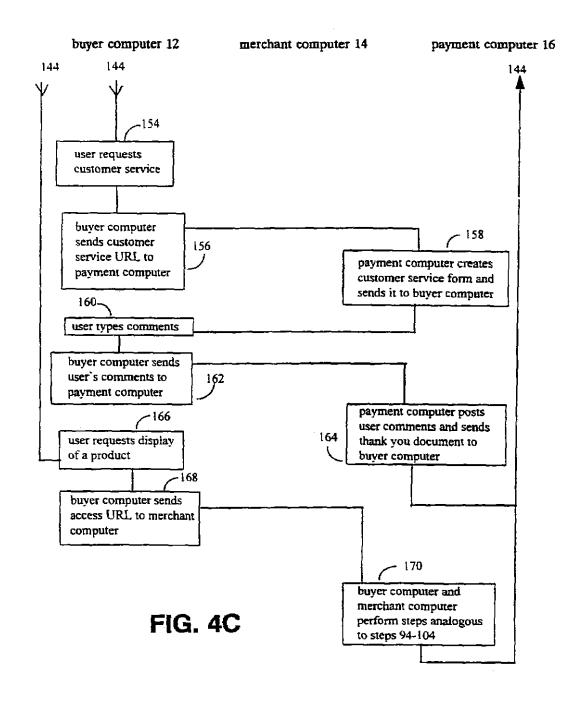
5,715,314



154, 166

Feb. 3, 1998

Sheet 15 of 25



Feb. 3, 1998

Sheet 16 of 25

<u>File Options Navigate Annotate</u>	<u>H</u> elp
Document Tide: Mead Data Central: Internet Information	
Document URL http://www.openmarketcom/demo/r15/mall/me	
Mead Data Central: Internet Information	Δ
November 28, 1993  LC's debut on the Internet: Library of Congress catalog On the	
Text of Abstract of Article	
VERONICA: A GOPHER NAVIGATIONAL TOOL ON THE INTERNET October, 1993	⊽
Data transfer complete:  Back Forward Home Reload Open Save As Clone New Window Close W	

FIG. 5

Feb. 3, 1998

Sheet 17 of 25

<u>File Options Navigate Annotate</u>	elp
Document Title: Open Market Payment  Document URL: http://payment.openmarket.com/ben/nph-payment	
Open Market Payment	Δ
You have selected an item that requires payment  Merchant: Test Herchant  Description: Head Data Central Article  Amount: 2.85 (US currency)	
If you have an open Market account click on "continue" below and you will be prompted for your account name and password. If you do not have an account, you can establish one on-line and return to this page to continue your purchase.  Open an account on-line	
Continue with payment transaction.  NOTE:For demonstrations use the account name testuser@openmarket.com with the password testuser.	
Open Market, Inc.	
Data transfer complete:  Backi Forward Home Reload Open Save As Clone New Window Close Window	₫

FIG. 6

Feb. 3, 1998

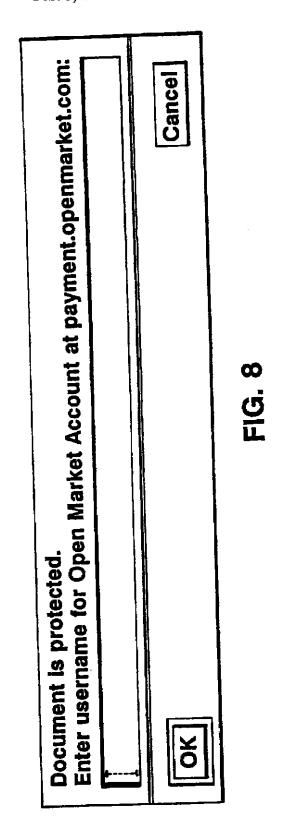
Sheet 18 of 25

<u>File Options Navigate Annotate H</u>	elp
Document Title: Establish OpenMarket Account	
Document URL: http://payment.openmarket.com/service/destabli.	
Card Number:	
Expiration Date: (format MM/YY)	
Check the appropriate boxes:	
☐I am the owner of the above credit card.	-
☐The above address is also the billing address for this credit card.	
Your OpenMarket account statement is available on-line. At your option you may a copy of your statement automatically sent to your e-mail address at weekly or monthly intervals. Please choose a statement option.	
♦ Weekly statements ♦ Monthly statements ♦ No e-mail statements	$\dashv$
Account name and password	
Please choose an account name and password for your OpenMarket account. We suggest using an account name that is unique and easy to remember such as your e-mail address. Your password should be 8 characters or longer.	
Account Name	
Password	
	∀
Back Forward Home Reload Open Save As Clone New Window Close Window	

FIG. 7

Feb. 3, 1998

Sheet 19 of 25



Feb. 3, 1998

Sheet 20 of 25

Document URL: http://payment.openmarket.com/ben/nph-payment  Open Market Payment  You have selected an item that you have purchased recently.  Merchant: Test Merchant Description: Mend Data Central Article Amount: 2.85(US currency)  This could happen because you would like to buy the item again or it may have happened by accident.  You can:  Go directly to the previous item Go ahead and buy the item again  Open Market, Inc.	<u>File Options Navigate Annotate</u>	<u>H</u> elp
Open Market Payment  You have selected an item that you have purchased recently.  Merchant: Test Merchant Description: Mead Data Central Article Amount: 2.85(US currency)  This could happen because you would like to buy the item again or it may have happened by accident.  You can:  Go directly to the previous item Go ahead and buy the item again	Document Title: Open Market Payment	5
You have selected an item that you have purchased recently.  Merchant: Test Merchant Description: Mead Data Central Article Amount: 2.85(US currency)  This could happen because you would like to buy the item again or it may have happened by accident.  You can:  • Go directly to the previous item • Go ahead and buy the item again	Document URL: http://payment.openmarket.com/ben/nph-payment	
Merchant: Test Merchant Description: Mead Data Central Article Amount: 2.85(US currency)  This could happen because you would like to buy the item again or it may have happened by accident.  You can:  Go directly to the previous item Go ahead and buy the item again	Open Market Payment	Δ
Description: Mead Data Central Article Amount: 2.85(US currency)  This could happen because you would like to buy the item again or it may have happened by accident.  You can:  • Go directly to the previous item • Go ahead and buy the item again	You have selected an item that you have purchased recently.	
by accident.  You can:  • Go directly to the previous item • Go ahead and buy the item again	Description: Mead Data Central Article	
Go directly to the previous item     Go ahead and buy the item again	This could happen because you would like to buy the item again or it may have happen by accident.	ened
Open Market, Inc.  ▽	Go directly to the previous item	
⊽	Open Market, Inc.	
⊽		
▽		
▽		
▽		
		▽
Back Forward Home Reload Open Save As Clone New Window Close Window	Back Forward Home Reload Open. Save As. Clone New Window Close Wind	low

FIG. 9

Feb. 3, 1998

Sheet 21 of 25

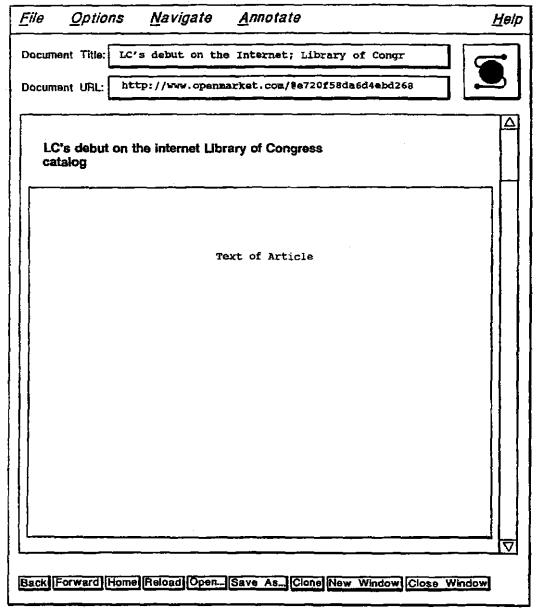


FIG. 10

Feb. 3, 1998

Sheet 22 of 25

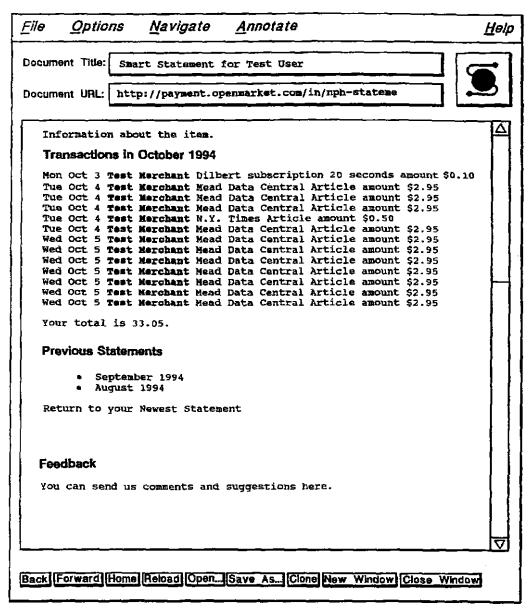


FIG. 11

Feb. 3, 1998

Sheet 23 of 25

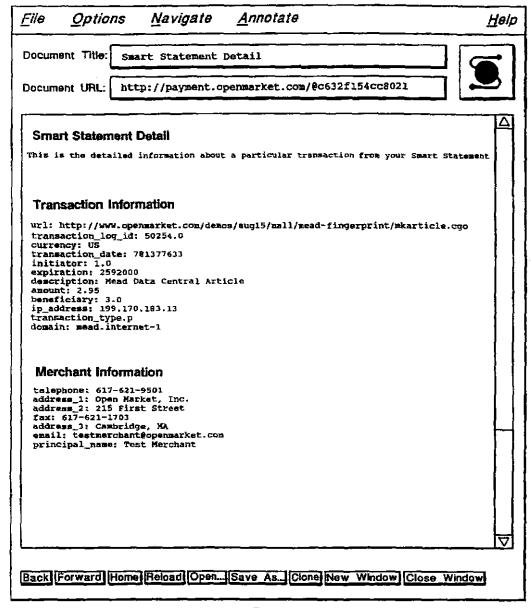


FIG. 12

Feb. 3, 1998

Sheet 24 of 25

<u>File Options Navigate Annotate</u>	<u>H</u> elp
Document Title: Smart Statement Detail	
Document URL: http://payment.openmarket.com/@c632f154cc8021	ر ح.
	Δ
url: http://www.openmarket.com/demos/aug15/mall/mead-fingerprint/mkarticle. trahsaction_log_id: 50254.0 currency: US	cge
transaction_date: 781377633 initiator: 1.0 expiration: 2592000	
description: Mead Data Central Article amount: 2.95 beneficiary: 3.0	
ip_address: 199.170.183.13 transaction_type.p domain: mead.internet-1	
Merchant Information	
telephone: 617-621-9501 address_1: Open Market, Inc. address_2: 215 First Street fax: 617-621-1703 address_3: Cambridge, MA email: testmerchant@openmarket.com	
principal_name: Test Merchant home_url: Country: US postal_code: 02142	
Feedback	} }
You can send us comments and suggestions here.	
	V
Back Forward Home Reload Open. Save As. Clone New Window Close Win	dow

FIG. 13

Feb. 3, 1998

Sheet 25 of 25

<u>File Options Navigate Annotate</u>	<u>Н</u> еІр
Document Title: Open Market Feedback  Document URL: http://payment.openmarket.com/ben/feedback.cg	
Or if you prefer, you can send your comments via electronic mail to feedback@openmarket.com or via FAX to +1.617.621.1703.If you would like a please include your e-mail address.	reply
Your Open Market accound name (optional):	
Your E-mail address (optional):	
Subject:	
Your comments:	
▼       ID	
Submit Feedback	▽
Back Forward Home Reload Open Save As Clone New Window Close Win	dow

FIG. 14

# 5.715.314

#### 1

### NETWORK SALES SYSTEM

#### REFERENCES TO APPENDICES

Microfiche appendices A-G, 4 sheets of 192 images total, are being submitted with the present application.

A claim of copyright is hereby made by Open Market, Incorporated with respect to the software code contained in the microfiche appendices, as of the date of first issuance of a U.S. patent based on this application. The copyright owner has no objection to the facsimile reproduction by anyone of the microfiche appendices as they appear in the Patent and Trademark office patent file or records, but reserves all other copyright rights whatsoever.

This invention relates to user-interactive network sales systems for implementing an open marketplace for goods or services over computer networks such as the Internet.

U.S. patent application Ser. No. 08/168,519, filed Dec. 16, 1993 by David K. Gifford and entitled "Digital Active Advertising," the entire disclosure of which is hereby incorporated herein in its entirety by reference, now abandoned, describes a network sales system that includes a plurality of buyer computers, a plurality of merchant computers, and a payment computer. A user at a buyer computer asks to have advertisements displayed, and the buyer computer requests 25 advertisements from a merchant computer, which sends the advertisements to the buyer computer. The user then requests purchase of an advertised product, and the buyer computer sends a purchase message to the merchant computer. The merchant computer constructs a payment order 30 that it sends to the payment computer, which authorizes the purchase and sends an authorization message to the merchant computer. When the merchant computer receives the authorization message it sends the product to the buyer computer.

The above-mentioned patent application also describes an alternative implementation of the network sales system in which, when the user requests purchase of an advertised product, the buyer computer sends a payment order directly to the payment computer, which sends an authorization 40 message back to the buyer computer that includes an unforgeable certificate that the payment order is valid. The buyer computer then constructs a purchase message that includes the unforgeable certificate and sends it to the merchant computer. When the merchant computer receives 45 the purchase request it sends the product to the buyer computer, based upon the pre-authorized payment order.

#### SUMMARY OF THE INVENTION

In one aspect, the invention provides a network-based 50 sales system that includes at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer. The buyer computer, the merchant computer, and the payment computer are interconnected by a computer network. The 55 buyer computer is programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to the payment computer that comprises a product identifier identifying the product. The payment computer is programmed to receive the payment message, to cause an 60 access message to be created that comprises the product identifier and an access message authenticator based on a cryptographic key, and to cause the access message to be sent to the merchant computer. The merchant computer is programmed to receive the access message, to verify the 65 access message authenticator to ensure that the access message authenticator was created using the cryptographic

2

key, and to cause the product to be sent to the user desiring to buy the product.

The invention provides a simple design architecture for the network sales system that allows the merchant computer to respond to payment orders from the buyer computer without the merchant computer having to communicate directly with the payment computer to ensure that the user is authorized to purchase the product and without the merchant computer having to store information in a database regarding which buyers are authorized to purchase which products. Rather, when the merchant computer receives an access message from the buyer computer identifying a product to be purchased, the merchant computer need only check the access message to ensure that it was created by the payment computer (thereby establishing for the merchant computer that the buyer is authorized to purchase the product), and then the merchant computer can cause the product to be sent to the buyer computer who has been authorized to purchase the product.

In another aspect, the invention features a network-based sales system that includes at least one buyer computer for operation by a user desiring to buy products, at least one shopping cart computer, and a shopping cart database connected to the shopping cart computer. The buyer computer and the shopping cart computer are interconnected by a computer network. The buyer computer is programmed to receive a plurality of requests from a user to add a plurality of respective products to a shopping cart in the shopping cart database, and, in response to the requests to add the products, to send a plurality of respective shopping cart messages to the shopping cart computer each of which includes a product identifier identifying one of the plurality of products. The shopping cart computer is programmed to receive the plurality of shopping cart messages, to modify the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart, and to cause a payment message associated with the shopping cart to be created. The buyer computer is programmed to receive a request from the user to purchase the plurality of products added to the shopping cart and to cause the payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart.

In another aspect, the invention features a network-based link message system that includes at least one client computer for operation by a client user and at least one server computer for operation by a server user. The client computer and the server computer are interconnected by a computer network. The client computer is programmed to send an initial link message to the server computer. The server computer is programmed to receive the initial link message from the client computer and to create, based on information contained in the initial link message, a session link message that encodes a state of interaction between the client computer and the server computer. The session link message includes a session link authenticator, computed by a cryptographic function of the session link contents, for authenticating the session link message. The server computer is programmed to cause the session link message to be sent to the client computer. The client computer is programmed to cause the session link message to be sent to a computer in the network that is programmed to authenticate the session link message by examining the session link authenticator and that is programmed to respond to the session link message based on the state of the interaction between the client computer and the server computer.

In another aspect, the invention features a network-based sales system that includes a merchant database having a

# 5,715,314

plurality of digital advertisements and a plurality of respective product fulfiliment items, at least one creation computer for creating the merchant database, and at least one merchant computer for causing the digital advertisements to be transmitted to a user and for causing advertised products to be transmitted to the user. The creation computer and the merchant computer are interconnected by a computer network. The creation computer is programmed to create the merchant database, and to transmit the digital advertisements and the product fulfillment items to the merchant 10 computer. The merchant computer is programmed to receive the digital advertisements and product fulfillment items, to receive a request for a digital advertisement from a user, to cause the digital advertisement to be sent to the user, to receive from the user an access message identifying an 15 advertised product, and to cause the product to be sent to the user in accordance with a product fulfillment item corresponding to the product.

In another aspect, the invention features a hypertext statement system that includes a client computer for opera- 20 tion by a client user and one or more server computers for operation by a server user. The client computer and the server computers are interconnected by a computer network. At least one of the server computers is programmed to record purchase transaction records in a database. Each of 25 the purchase transaction records includes a product description. The server computer is programmed to transmit a statement document that includes the purchase transaction records to the client computer. The client computer is programmed to display the product descriptions, to receive 30 a request from the client user to display a product corresponding to a product description displayed by the client computer, and to cause a product hypertext link derived from a purchase transaction record to be activated. At least one of the server computers is programmed to respond to activation 35 of the product hypertext link by causing the product to be sent to the client computer.

In another aspect, the invention features a network payment system that includes at least one buyer computer for operation by a user desiring to buy a product and at least one 40 payment computer for processing payment messages from the buyer computer. The buyer computer and the payment computer are interconnected by a computer network. The buyer computer is programmed to cause a payment message to be sent to the payment computer. The payment message 45 includes a product identifier identifying the product that the user desires to buy. The payment computer is programmed to receive the payment message, to cause an access message to be created to enable the user to access the product, and to record a purchase transaction record in the settlement data- 50 base. The buyer computer is programmed to cause a request for purchase transaction records to be sent to the payment computer. The payment computer is programmed to receive the request for purchase transaction records and to cause a document derived from the purchase transaction records to 55 be sent to the buyer computer.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of a network sales system in accordance with the present invention.

FIG. 2 (2-A through 2-I) is a flowchart diagram illustrating the operation of a purchase transaction in the network sales system of FIG. 1.

FIG. 3 (3-A through 3-B) is a flowchart diagram illustrating the use of a shopping cart for the purchase of 65 products in connection with the network sales system of

Filed 10/11/2002

FIG. 4 (4-A through 4-C) is a flowchart diagram illustrating the operation of a smart statement in the network sales system of FIG. 1.

FIG. 5 is a screen snapshot of an advertising document that the merchant computer sends to the buyer computer in

FIG. 6 is a screen snapshot of a confirmation document that the payment computer sends to the buyer computer in FIG. 2.

FIG. 7 is a screen snapshot of a new account document that the payment computer sends to the buyer computer in

FIG. 8 is a screen snapshot of an account name prompt that the buyer computer creates in FIG. 2.

FIG. 9 is a screen snapshot of a document that the payment computer sends to the buyer computer in FIG. 2 and that provides an option either to repurchase or to use a previously purchased access.

FIG. 10 is a screen snapshot of a fulfillment document that the merchant computer sends to the buyer computer in FIG.

FIG. 11 is a screen snapshot of a smart statement document that the payment computer sends to the buyer computer in FIG. 4.

FIGS. 12 and 13 are screen snapshots of a transaction detail document that the payment computer sends to the buyer computer in FIG. 4.

FIG. 14 is a screen snapshot of a customer service form that the payment computer sends to the buyer computer in FIG. 4.

#### DETAILED DESCRIPTION

With reference to FIG. 1, a network sales system in accordance with the present invention includes a buyer computer 12 operated by a user desiring to buy a product, a merchant computer 14, which may be operated by a merchant willing to sell products to the buyer or by a manager of the network sales system, a payment computer 16 typically operated by a manager of the network sales system, and a creation computer 20 typically operated by the merchant. The buyer, merchant, payment, and creation computers are all inter-connected by a computer network 10 such as the Internet.

Creation computer 20 is programmed to build a "store" of products for the merchant. A printout of a computer program for use in creating such a "store" in accordance with the present invention is provided as Appendix F.

The products advertised by merchant computer 14 may be, for example, newspaper or newsletter articles available for purchase by buyers. Creation computer 20 creates a digital advertisement database 18 that stores advertising documents (which may for example be in the form of summaries of newspaper or newsletter articles, accompanied by prices) and product fulfillment items (which may be the products themselves if the products can be transmitted over the network, or which may be hard goods identifiers if the products are hard goods, i.e., durable products as opposed to information products). Creation computer 20 transmits contents of the advertising document database 18 to merchant computer 14 to enable the merchant computer to cause advertisements and products to be sent to buyers. Merchant computer 14 maintains advertising documents locally in advertising document database 15. In an alternative embodiment, the creation computer does not have a local digital advertisement database, but instead updates a remote

4

advertising document database on a merchant computer. These updates can be accomplished using HTML forms or other remote database technologies as is understood by practitioners of the art.

Payment computer 16 has access to a settlement database 5 22 in which payment computer 16 can record details of purchase transactions. The products may be organized into various "domains" of products, and payment computer 16 can access settlement database 22 to record and retrieve records of purchases of products falling within the various domains. Payment computer 16 also has access to a shopping cart database 21 in which a "shopping cart" of products that a user wishes to purchase can be maintained as the user shops prior to actual purchase of the contents of the shopping cart.

With reference to FIG. 2, a purchase transaction begins when a user at buyer computer 12 requests advertisements (step 24) and buyer computer 12 accordingly sends an advertising document URL (universal resource locator) to merchant computer 14 (step 26). The merchant computer fetches an advertising document from the advertising document database (step 28) and sends it to the buyer computer (step 30). An example of an advertising document is shown in FIG. 5. Details of URLs and how they are used are found in the microfiche Appendix G.

The user browses through the advertising document and eventually requests a product (step 32). This results in the buyer computer sending payment URL A to the payment computer (step 34). Payment URL A includes a product identifier that represents the product the user wishes to buy, a domain identifier that represents a domain of products to which the desired product belongs, a payment amount that represents the price of the product, a merchant computer identifier that represents merchant computer 14. a merchant 35 account identifier that represents the particular merchant account to be credited with the payment amount, a duration time that represents the length of time for which access to the product is to be granted to the user after completion of the purchase transaction, an expiration time that represents a deadline beyond which this particular payment URL cannot be used, a buyer network address, and a payment URL authenticator that is a digital signature based on a cryptographic key. The payment URL authenticator is a hash of other information in the payment URL, the hash being 45 defined by a key shared by the merchant and the operator of the payment computer.

In an alternative embodiment, step 34 consists of the buyer computer sending a purchase product message to the merchant computer, and the merchant computer provides 50 payment VRL A to the buyer computer in response to the purchase product message. In this alternative embodiment, payment URL A contains the same contents as above. The buyer computer then sends the payment URL A it has received from the merchant computer to the payment computer.

When the payment computer receives the payment URL it verifies whether the payment URL authenticator was created from the contents of the payment URL using the cryptographic key (step 36). If not, the payment computer 60 sends a document to the buyer computer indicating that access to the network sales system is denied (step 38). Otherwise, the payment computer determines whether the expiration time has past (step 40). If it has, the payment computer sends a document to the buyer computer indicating that the time has expired (step 41). Otherwise, the payment computer checks the buyer computer network

address to see if it matches the one specified in the payment URL (step 42). If it does not match, the payment computer sends a document to the buyer computer indicating that access to the network payment system is denied (step 43).

Otherwise, the payment computer sends a payment confirmation document to the buyer computer, the payment confirmation document including an "open" link and a "continue" link (step 44).

6

An example of a confirmation document is shown in FIG. 6. The confirmation document asks the user to click on a "continue" button if the user already has an account with the payment computer, or to click on an "open" button if the user does not already have an account and wishes to open one.

If the user clicks on the "open" button (step 46), the buyer computer sends payment URL C to the payment computer (step 48), payment URL C being similar to payment URL A but also indicating that the user does not yet have an account. The payment computer creates a new account document (step 50) and sends it to the buyer computer (step 52). An example of a new account document is shown in FIG. 7. When the user receives the new account document he enters the new account name, an account password, a credit card number, the credit card expiration date, and security information such as the maiden name of the user's mother (step 54), and presses a "submit" button (not shown in FIG. 7). The buyer computer sends the new account information to the payment computer (step 56), which enters the new account in the settlement database (step 58).

If the user clicks on the "continue" button (step 60), the buyer computer sends payment URL B to the payment computer (step 62), payment URL B being similar to payment URL A but also indicating that the user already has an account. The payment computer then instructs the buyer computer to provide the account name and password (steps 64 and 66), and the buyer computer prompts the user for this information by creating an account name prompt (example shown in FIG. 8) and a similar password prompt. The user enters the information (step 68) and the buyer computer sends the account name and password to the payment computer (step 70).

The payment computer verifies whether the user name and password are correct (step 72). If they are not correct, the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 74). Otherwise, the payment computer determines whether additional security is warranted, based on. e.g., whether the payment amount exceeds a threshold (step 73). If additional security is warranted, the payment computer creates a challenge form document and sends it to the buyer computer (step 75). The user enters the security information (step 77), the buyer computer sends the security information to the payment computer (step 79), and the payment computer determines whether the security information is correct (step 81). If it is not correct, the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 83).

If the security information is correct, or if additional security was not warranted, the payment computer checks the settlement database to determine whether the user has unexpired access to the domain identifier contained in the payment URL (step 82). If so, the payment computer sends to the buyer computer a document providing an option either to repurchase or to use the previously purchased access (step 84). An example of such a document is shown in FIG. 9. The

user can respond to the recent purchase query document by choosing to access the previously purchased document (step 85) or to go ahead and buy the currently selected product (step 86).

If the user chooses to access the previously purchased 5 document, the buyer computer skips to step 92 (see below). If the user chooses to buy the currently selected product, the payment computer calculates an actual payment amount that may differ from the payment amount contained in the payment URL (step 87). For example, the purchase of a 10 product in a certain domain may entitle the user to access other products in the domain for free or for a reduced price for a given period of time.

The payment computer then verifies whether the user account has sufficient funds or credit (step 76). If not, the 15 payment computer sends a document to the buyer computer indicating that the user account has insufficient funds (step 78). Otherwise, the payment computer creates an access URL (step 80) that includes a merchant computer identifier, a domain identifier, a product identifier, an indication of the end of the duration time for which access to the product is to be granted, the buyer network address, and an access URL authenticator that is a digital signature based on a cryptographic key. The access URL authenticator is a hash of other information in the access URL, the hash being defined by a key shared by the merchant and the operator of the payment computer. The payment computer then records the product identifier, the domain, the user account, the merchant account, the end of duration time, and the actual payment amount in the settlement database (step 88).

The payment computer then sends a redirect to access URL to the buyer computer (step 90), which sends the access URL to the merchant computer (step 92). The merchant computer verifies whether the access URL authenticator was created from the contents of the access URL using the cryptographic key (step 94). If not, the merchant computer sends a document to the buyer computer indicating that access to the product is denied (step 96).

Otherwise, the merchant computer verifies whether the duration time for access to the product has expired (step 98). This is done because the buyer computer can request access to a purchased product repeatedly. If the duration time has expired, the merchant computer sends a document to the buyer computer indicating that the time has expired (step 100). Otherwise the merchant computer verifies that the buyer computer network address is the same as the buyer network address in the access URL (step 101), and if so, sends a fulfillment document to the buyer computer (step 102), which is displayed by the buyer computer (step 104). An example of a fulfillment document is shown in FIG. 10. Otherwise, the merchant computer sends a document to the buyer computer indicating that access is not allowed (step 103).

With reference now to FIG. 3, when the merchant computer sends the advertising document to the buyer computer, the user may request that a product be added to a shopping cart in the shopping cart database rather than request that the product be purchased immediately. The buyer computer sends a shopping cart URL to the payment computer (step 108), the shopping cart URL including a product identifier, a domain identifier, a payment amount, a merchant computer identifier, a merchant account identifier, a duration time, an expiration time, and a shopping cart URL authenticator that is a digital signature based on a cryptographic key. The 65 shopping cart URL authenticator is a hash of other information in the shopping cart URL, the hash being defined by

8

a key shared by the merchant and the operator of the payment computer.

The payment computer verifies whether the shopping cart URL authenticator was created from the contents of the shopping cart URL using a cryptographic key (step 110). If not, the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 112). Otherwise, before any modification to a user's shopping cart is allowed, user authentication is performed (step 113) in a manner analogous to steps 40–81. Once the user is authenticated, the payment computer creates or updates a payment URL for the shopping cart (step 114)

The user then either requests more advertisements (step 24 in FIG. 2) and possibly adds another product to the shopping cart, requests display of the shopping cart (step 116), or requests purchase of the entire contents of the shopping cart (step 124). If the user requests display of the shopping cart (step 116), the buyer computer sends a fetch shopping cart request to the payment computer (step 118), and the payment computer and buyer computer (step 119) perform steps analogous to steps 64-81. The payment computer returns the contents of the shopping cart to the buyer computer (step 120), which displays the contents of the shopping cart (step 122). If the user requests that the entire contents of the shopping cart be purchased (step 124) the buyer computer causes the payment URL for the shopping cart to be activated (step 126) and the payment URL is processed in a manner analogous to the processing of payment URLs for individual products (beginning with step 36 in FIG. 2).

With reference now to FIG. 4, a user can request display of a "smart statement" that lists purchase transactions for a given month (step 128). When the buyer computer receives such a request, it sends a smart statement URL to the payment computer (step 130).

When the payment computer receives the smart statement URL, it verifies whether the smart statement URL authenticator was created from the contents of the smart statement URL using a cryptographic key (step 132). If not, the payment computer sends a document to the buyer computer indicating that access is denied (step 134). Otherwise, the payment computer checks to determine whether the buyer network address in the smart statement URL matches the buyer computer's actual network address (step 136). If not, the payment computer sends a document to the buyer computer indicating that access is denied (step 138). Otherwise (step 140), the payment computer and buyer computer perform a set of steps analogous to steps 64-81 in FIG. 2 (payment computer requests account name and password. user provides the requested information, and payment computer verifies the information).

In an alternative embodiment steps 132-138 are omitted. After verification of account information is complete, the payment computer retrieves the requested settlement data from the settlement database, creates a smart statement document for the buyer, and sends the smart statement document to the buyer computer (step 142). An example of a smart statement document is shown in FIG. 11. Each purchase transaction record in the smart statement document includes the data of the transaction, the name of the merchant, an identification of the product, and the payment amount for the product. The smart statement document also includes a transaction detail URL for each purchase transaction (these URLs, or hypertext links, are discussed below and are not shown in FIG. 11). The smart statement document document are not shown in FIG. 11).

ment also identifies previous statements that the user may wish to have displayed.

The buyer computer displays the retrieved document (step 144), and the user may request transaction details for a particular transaction listed on the smart statement (step 146). If so, the buyer computer sends a transaction detail URL (or "payment detail URL") to the payment computer (step 148). The transaction detail URL includes a transaction identifier, a buyer network address, and a transaction detail the transaction detail URL, it performs (step 150) a set of steps analogous to steps 132-140 (verification of URL authenticator, buyer network address, and account information). The payment computer then retrieves from the transaction specified in the transaction detail URL, creates a transaction detail document, and sends it to the buyer computer (step 152).

An example of a transaction detail document is shown in FIGS. 12 and 13. The document displays a number of items of information about the transaction, including the transaction date, end of the duration time ("expiration"), a description of the product, the payment amount, the domain corresponding to the product, an identification of the merchant, and the merchant's address,

The smart statement document and the transaction detail document both include customer service URLs (hypertext links) that allow the user to request customer service (i.e., to send comments and suggestions to the payment computer). When the user requests customer service (step 154), the buyer computer sends the customer service URL to the payment computer (step 156), which creates a customer service form and sends it to the buyer computer (step 158). An example of a customer service form is shown in FIG. 14. The user types comments into the customer service form (step 160), and the buyer computer sends the user's comments to the payment computer (step 162). The payment computer then posts the user comments and sends a thank you document to the buyer computer (step 164).

A user may request display of a product included in the smart statement. When the user requests that the product be displayed (step 166), the buyer computer sends the access URL contained in the smart statement document to the merchant computer (step 168), and the buyer computer and 45 merchant computer perform a set of steps analogous to steps 94-104 in FIG. 2 (authentication of access URL, verification whether duration time has expired, verification of buyer network address, and transmission of fulfillment document to buyer computer).

Whenever the present application states that one computer sends a URL to another computer, it should be understood that in preferred embodiments the URL is sent in a standard HTTP request message, unless a URL message is specified as a redirection in the present application. The request 55 message includes components of the URL as described by the standard HITP protocol definition. These URL components in the request message allow the server to provide a response appropriate to the URL. The term "URL" as used the present application is an example of a "link," which is a 60 each comprises a universal resource locator. pointer to another document or form (including multimedia documents, hypertext documents including other links, or audio/video documents).

When the present application states that one computer sends a document to another computer, it should be under- 65 stood that in preferred embodiments the document is a success HTTP response message with the document in the

10

body of the message. When the present application states that a server sends an account name and password request message to the client, it should be understood that in preferred embodiments the account name and password request message is an unauthorized HTTP response. A client computer sends account name and password information to a server as part of a request message with an authorization

The software architecture underlying the particular pre-URL authenticator. When the payment computer receives 10 fetred embodiment is based upon the hypertext conventions of the World Wide Web. Appendix A describes the Hypertext Markup Language (HTML) document format used to represent digital advertisements. Appendix B describes the HTML forms fill out support in Mosaic 2.0. Appendix C is settlement database data corresponding to the payment 15 a description of the Hypertext Transfer Protocol (HTTP) between buyer and merchant computers. Appendix D describes how documents are named with Uniform Resource Locators (URLs) in the network of computers, and Appendix E describes the authentication of URLs using digital signatures.

> A printout of a computer program for use in creating and operating such a "store" in accordance with the present invention is provided as Appendix F. A printout of a computer program for use in operating other aspects of the network sales system in accordance with the present invention is provided in the microfiche appendix G.

There has been described a new and useful network-based sales system. It is apparent that those skilled in the art may make numerous modifications and departures from the specific embodiments described herein without departing from the spirit and scope of the claimed invention.

What is claimed is:

- 1. A network-based sales system, comprising:
- at least one buyer computer for operation by a user desiring to buy a product;
- at least one merchant computer; and
- at least one payment computer;
- said buyer computer, said merchant computer, and said payment computer being interconnected by a computer network;
- said buyer computer being programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to said payment computer that comprises a product identifier identifying said product;
- said payment computer being programmed to receive said payment message, to cause an access message to be created that comprises said product identifier and an access message authenticator based on a cryptographic key, and to cause said access message to be sent to said merchant computer: and
- said merchant computer being programmed to receive said access message, to verify said access message authenticator to ensure that said access message authenticator was created using said cryptographic key. and to cause said product to be sent to said user desiring to buy said product.
- 2. A network-based sales system in accordance with claim 1. wherein said payment message and said access message
- 3. A network-based sales system in accordance with claim 1, wherein said payment computer is programmed to identify said merchant computer upon receipt of said payment message from said buyer computer.
- 4. A network-based sales system in accordance with claim 1, wherein said access message comprises a buyer network address.

### 5,715,314

11

- A network-based sales system in accordance with claim.
  - said product can be transmitted from one computer to another; and
  - said merchant computer causes said product to be sent to 5 said user by transmitting said product to said buyer network address only.
- A network-based sales system in accordance with claim 4. wherein said merchant computer is programmed to verify whether said buyer network address in said access message 10 matches the actual network address of said buyer computer.
- 7. A network-based sales system in accordance with claim 1, wherein said payment message comprises a buyer network address.
- 8. A network-based sales system in accordance with claim 7, wherein said payment computer is programmed to verify whether said buyer network address in said payment message matches the actual network address of said buyer computer.
- 9. A network-based sales system in accordance with claim 1. wherein said access message authenticator comprises a 20 cryptographic function of contents of said access message based on said cryptographic key.
- 10. A network-based sales system in accordance with claim 1, wherein said payment computer is programmed to verify said payment message authenticator to ensure that 25 said payment message authenticator was created using said cryptographic key.
- 11. A network-based sales system in accordance with claim 10, wherein said payment message authenticator comprises a cryptographic function of contents of said payment 30 message based on said cryptographic key.
- 12. A network-based sales system in accordance with claim 1. wherein said payment message comprises a payment amount.
- 13. A network-based sales system in accordance with claim 1. wherein said payment message comprises a merchant account identifier that identifies a merchant account.
- 14. A network-based sales system in accordance with claim 1, wherein said buyer computer is programmed to transmit a user account identifier to said payment computer that identifies a user account.
- 15. A network-based sales system in accordance with claim 14, wherein:
  - said payment message comprises a payment amount; and said payment computer is programmed to ensure that said user account has sufficient funds or credit to cover said payment amount.
- 16. A network-based sales system in accordance with claim 14. wherein:
  - a merchant account identifier that identifies a merchant account; and
  - said payment computer is programmed to record said payment amount, said user account, and said merchant account in a settlement database.
- 17. A network-based sales system in accordance with claim 16, wherein:
  - said payment message comprises a domain identifier, and said payment computer is programmed to record said domain identifier and said user account in a settlement 60 database
- 18. A network-based sales system in accordance with claim 17, wherein said payment computer is programmed to check said settlement database, upon receipt of said payment message, to determine whether said user account has pre- 65 claim 1, wherein: viously purchased a product associated with said domain identifier.

### 12

- 19. A network-based sales system in accordance with claim 18, wherein said payment computer is programmed to determine an actual payment amount for said product identified by said product identifier in said payment message based on whether said user account has previously purchased a product associated with said domain identifier.
- 20. A network-based sales system in accordance with claim 1, wherein said buyer computer is programmed to transmit a user authenticator to said payment computer and said payment computer is programmed to verify said user authenticator.
- 21. A network-based sales system in accordance with claim 20, wherein said user authenticator comprises a pass-
- 22. A network-based sales system in accordance with 15 claim 20, wherein:
  - said buyer computer is programmed to transmit security information to said payment computer;
  - said payment computer is programmed to transmit a challenge form to said buyer computer under a predetermined condition, said challenge form asking for said security information previously transmitted by said buyer computer to said payment computer;
  - said payment computer is programmed to respond to said challenge form by querying said user for said security information and transmitting said security information to said payment computer; and
  - said payment computer is programmed to verify authenticity of said security information.
  - 23. A network-based sales system in accordance with claim 22, wherein:
    - said payment message comprises a payment amount; and said predetermined condition comprises receipt of a payment amount in said payment message that exceeds a
  - 24. A network-based sales system in accordance with claim 1, wherein said payment message comprises a merchant computer identifier that identifies said merchant com-
  - 25. A network-based sales system in accordance with claim 24, wherein said access message comprises said merchant computer identifier.
- 26. A network-based sales system in accordance with claim 1, wherein said payment message comprises a duration time that specifies a length of time for which access to said product is to be granted.
- 27. A network-based sales system in accordance with claim 26, wherein said payment computer is programmed to use said duration time to compute an end of duration time said payment message comprises a payment amount and 50 and to cause said end of duration time to be included in said access message.
  - 28. A network-based sales system in accordance with claim 27, wherein said merchant computer is programmed to verify, upon receipt of said access message, that said end of 55 duration time has not past.
    - 29. A network-based sales system in accordance with claim 1, wherein said payment message comprises an expiration time after which said payment message can no longer be used.
    - 30. A network-based sales system in accordance with claim 29, wherein said payment computer is programmed to verify, upon receipt of said payment message, that said expiration time has not past.
    - 31. A network-based sales system in accordance with
      - said payment computer is programmed to cause said access message to be sent to said buyer computer; and

### 5,715,314

13

- said buyer computer is programmed to cause said access message received from said payment computer to be sent to said merchant computer.
- 32. A network-based sales system, comprising:
- at least one buyer computer for operation by a user 5 desiring to buy a product;
- at least one merchant computer; and
- at least one payment computer;
- said buyer computer, said merchant computer, and said payment computer being interconnected by a computer 10 network;
- said buyer computer being programmed to receive a user request for purchasing a product, and to cause a payment URL to be sent to said payment computer that comprises a product identifier identifying said product, 15 a payment amount, and a payment URL authenticator comprising a cryptographic function of contents of said payment URL based on a cryptographic key;
- said payment computer being programmed to receive said payment URL, to verify said payment URL authenti- 20 cator to ensure that said payment URL authenticator was created using said cryptographic key, to ensure that said user has sufficient funds or credit to cover said payment amount, to identify said merchant computer operated by said merchant willing to sell said product 25 to said buyer, to cause an access URL to be created that comprises said product identifier and an access URL authenticator comprising a cryptographic function of contents of said access URL based on a cryptographic buyer computer;
- said buyer computer being programmed to cause said access URL received from said payment computer to be sent to said merchant computer; and
- said merchant computer being programmed to receive 35 said access URL, to verify said access URL authenticator to ensure that said access URL authenticator was created using said cryptographic key, and to cause said product to be sent to said user desiring to buy said product.
- 33. A method of operating a payment computer in a computer network comprising at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer, the method comprising the steps of:
  - receiving, at said payment computer, a payment message that said buyer computer has caused to be sent to said payment computer in response to a user request for purchasing a product, said payment message comprising a product identifier identifying said product;
  - causing an access message to be created that comprises said product identifier and an access message authenticator based on a cryptographic key; and
  - computer, said merchant computer being programmed to receive said access message, to verify said access message authenticator to ensure that said access message authenticator was created using said cryptographic key, and to cause said product to be sent to said user 60 desiring to buy said product.
  - 34. A network-based sales system, comprising:
  - at least one buyer computer for operation by a user desiring to buy products;
  - at least one shopping cart computer; and
  - a shopping cart database connected to said shopping cart computer;

14

- said buyer computer and said shopping cart computer being interconnected by a computer network;
- said buyer computer being programmed to receive a plurality of requests from a user to add a plurality of respective products to a shopping cart in said shopping cart database, and, in response to said requests to add said products, to send a plurality of respective shopping cart messages to said shopping cart computer each of which comprises a product identifier identifying one of said plurality of products;
- said shopping cart computer being programmed to receive said plurality of shopping cart messages, to modify said shopping eart in said shopping cart database to reflect said plurality of requests to add said plurality of products to said shopping cart, and to cause a payment message associated with said shopping cart to be created; and
- said buyer computer being programmed to receive a request from said user to purchase said plurality of products added to said shopping cart and to cause said payment message to be activated to initiate a payment transaction for said plurality of products added to said shopping cart;
- said shopping cart being a stored representation of a collection of products, said shopping cart database being a database of stored representations of collections of products, and said shopping cart computer being a computer that modifies said stored representations of collections of products in said database.
- 35. A network-based sales system in accordance with key, and to cause said access URL to be sent to said 30 claim 34, wherein said shopping cart computer is programmed to cause said payment message to be created before said buyer computer causes said payment message to be activated.
  - 36. A network-based sales system in accordance with claim 34, wherein said buyer computer is programmed to receive a request from said user to display said plurality of products added to said shopping cart.
  - 37. A network-based sales system in accordance with claim 36, wherein said buyer computer is programmed to transmit a fetch shopping cart request to said payment computer in response to receipt of said request from said
  - 38. A network-based sales system in accordance with claim 37, wherein:
  - said payment computer is programmed to respond to said fetch shopping cart request by transmitting a message to said buyer computer indicating said plurality of products added to said shopping cart; and
  - said buyer computer is programmed to display said plurality of products added to said shopping cart.
- 39. A method of operating a shopping cart computer in a computer network comprising at least one buyer computer for operation by a user desiring to buy products, at least one shopping cart computer, and a shopping cart database concausing said access message to be sent to said merchant 55 nected to said shopping cart computer, said method comprising the steps of:
  - receiving, at said shopping cart computer, a plurality of shopping cart messages sent to said shopping cart computer by said buyer computer in response to receipt of a plurality of requests from a user to add a plurality of respective products to a shopping cart in said shopping cart database, each of said shopping cart messages comprising a product identifier identifying one of said plurality of products;
  - modifying said shopping cart in said shopping cart database to reflect said plurality of requests to add said plurality of products to said shopping cart; and

causing a payment message associated with said shopping cart to be created;

said buyer computer being programmed to receive a request from said user to purchase said plurality of products added to said shopping cart and to cause said payment message to be activated to initiate a payment transaction for said plurality of products added to said shopping cart;

said shopping cart being a stored representation of a collection of products, said shopping cart database being a database of stored representations of collections of products, and said shopping cart computer being a computer that modifies said stored representations of collections of products in said database.

40. A network-based link message system, comprising: at least one client computer for operation by a client user; and

at least one server computer for operation by a server user;
said client computer and said server computer being 20 with claim 48, wherein:
interconnected by a computer network;
said session link author

said client computer being programmed to send an initial link message to said server computer;

said server computer being programmed to receive said initial link message from said client computer, to create, based on information contained in said initial link message, a session link message that encodes a state of interaction between said client computer and said server computer, said session link message comprising a session link authenticator, computed by a cryptographic function of said session link contents, for authenticating said session link message, and to cause said session link message to be sent to said client computer;

said client computer being programmed to cause said session link message to be sent to a computer in said network that is programmed to authenticate said session link message by examining said session link authenticator and that is programmed to respond to said session link message based on said state of said interaction between said client computer and said server computer.

41. A network-based link message system in accordance with claim 40, wherein:

said client computer comprises a buyer computer for operation by a user desiring to buy a product;

said server computer comprises a payment computer for operation by a manager of said network-based link message system; and

said network-based link message system further comprises a merchant computer for operation by a merchant willing to sell said product to said buyer.

42. A network-based link message system in accordance with claim 41, wherein said computer that is programmed to 16

authenticate said session link message comprises said merchant computer.

43. A network-based link message system in accordance with claim 41, wherein said initial link message comprises a payment message to said payment computer that comprises a product identifier identifying said product.

44. A network-based link message system in accordance with claim 43, wherein said session link message comprises an access message that comprises said product identifier to be created.

45. A network-based link message system in accordance with claim 44, wherein said merchant computer is programmed to respond to said access message by causing said product to be sent to said user desiring to buy said product.

46. A network-based link message system in accordance with claim 40, wherein said initial link message and said session link message comprise universal resource locators.

47. A network-based link message system in accordance with claim 40, wherein:

said session link authenticator comprises a cryptographic function of contents of said session link message based on a cryptographic key; and

said computer to which said client computer is programmed to cause said session link message to be sent is programmed to verify that said session link authenticator was created using said cryptographic key.

48. A method of operating a server computer in a networkbased link message system comprising at least one client computer for operation by a client user and at least one server computer for operation by a server user, said client computer and said server computer being interconnected by a computer network, said method comprising the steps of:

receiving, at said server computer, an initial link message sent to said server computer by said client computer;

creating, based on information contained in said initial link message, a session link message that encodes a state of interaction between said client computer and said server computer, said session link message comprising a session link authenticator, computed by a cryptographic function of said session link contents, for authenticating said session link message; and

causing said session link message to be sent to said client computer;

said client computer being programmed to cause said session link message to be sent to a computer in said network that is programmed to authenticate said session link message by examining said session link authenticator and that is programmed to respond to said session link message based on said state of said interaction between said client computer and said server computer.

\* \* \* \* \*



## United States Patent [19]

## Payne et al.

### [11] Patent Number:

## 5,909,492

[45] Date of Patent:

Jun. 1, 1999

[54]	NETWO	RK SALES SYSTEM
[75]	Inventors:	Andrew C. Payne, Lincoln; Lawrence C. Stewart, Burlington, both of Mass.; David J. Mackie, Brookdale, Calif.
[73]	Assignee:	Open Market, Incorporated, Cambridge, Mass.
[21]	Appl. No.:	08/878,396
[22]	Filed:	Jun. 18, 1997

### Related U.S. Application Data

[63]	Continuation of application No. 08/328,133, Oct. 24, 1994, Pat. No. 5,715,314.
	rat. No. 5,715,514.

[51]	Int. Cl.6		H04L 9/00
[52]	U.S. Cl	380/24;	380/23; 380/25
-		380/49; 380/50; 705/26;	705/27; 705/39
			705/40; 705/44

### [56] References Cited

### U.S. PATENT DOCUMENTS

4,305,059	12/1981	Benton .
4,528,643	7/1985	Freeny, Jr
4,529,870	7/1985	Chaum 235/380
4,578,530	3/1986	Zeidler.
4,734,858	3/1988	Schlafly.
4,755,940	7/1988	Brachtl et al
4,759,063	7/1988	Chaum 380/30
4,759,064	7/1988	Chaum
4,775,935	10/1988	Yourick .
4,795,890	1/1989	Goldman 235/380
4,799,156	1/1989	Shavit et al
4,812,628	3/1989	Boston et al
4,827,508	5/1989	Shear
4,891,503	1/1990	Jewel 235/380
4,922,521	5/1990	Krikke et al
4,926,480	5/1990	Chaum
4,935,870	6/1990	Burk, Jr. et al
4,947,028	8/1990	Gorog.
4,947,430	8/1990	Chaum

	014000	
		Chaum
4,972,318	11/1990	Brown et al 705/26
4,977,595	12/1990	Ohta et al 380/24
		Girouard et al
4,987,593	1/1991	Chaum 380/3
4,991,210	2/1991	Chaum
4,992,940	2/1991	Dworkin .

### (List continued on next page.)

### FOREIGN PATENT DOCUMENTS

0 172 670	2/1986	European Pat. Off G07F 7/00
0-542-298-A2		European Pat. Off
4-10191	1/1992	Japan 705/26
2102606	2/1983	United Kingdom .
WO 91/16691	10/1991	WIPO.
WO 93/10503	5/1993	WIPO G06F 15/30

### OTHER PUBLICATIONS

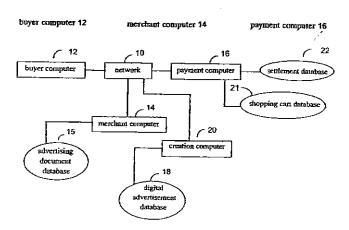
Contents of "Welcome first-time visitors" at www.amazon.com on the Internet as of Jun. 29, 1998.

Primary Examiner—Bernarr E. Gregory Attorney, Agent, or Firm—Fish & Richardson P.C.

### 57] ABSTRACT

A network-based sales system includes at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer. The buyer computer, the merchant computer, and the payment computer are interconnected by a computer network. The buyer computer is programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to the payment computer that comprises a product identifier identifying the product. The payment computer is programmed to receive the payment message, to cause an access message to be created that comprises the product identifier and an access message authenticator based on a cryptographic key, and to cause the access message to be sent to the merchant computer. The merchant computer is programmed to receive the access message, to verify the access message authenticator to ensure that the access message authenticator was created using the cryptographic key, and to cause the product to be sent to the user desiring to buy the product.

### 38 Claims, 25 Drawing Sheets



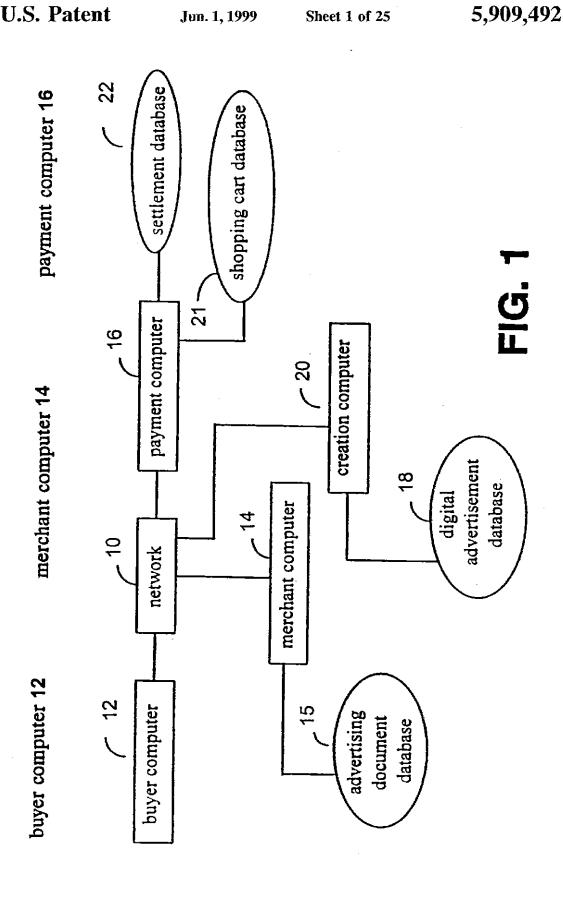
PLAINTIFF'S EXHIBIT

2

# 5,909,492 Page 2

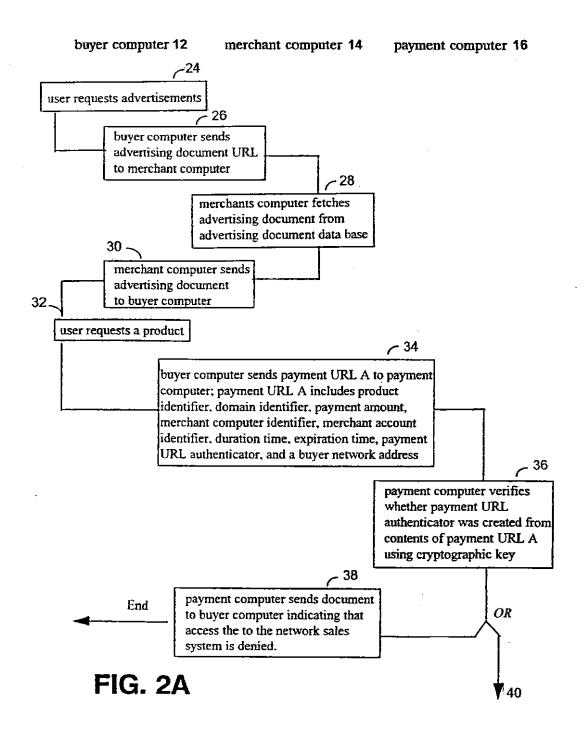
U.S. PA	TENT DOCUMENTS	5,414,833	5/1995	Hershey et al.
4,996,711 2/1991 5,025,373 6/1991 5,060,153 10/1991	Keyser, Jr. et al	5,521,631 5,535,229 5,557,516	7/1996 9/1996	Budow et al Hain, Jr. et al Hogan
, ,	Pîranî et al	5,557,518 5,557,798 5,590,197	9/1996	Rosen
5,220,501 6/1993 5,247,575 9/1993 5,276,736 1/1994	Sprague et al 380/9	5,592,378 5,594,910	1/1997	Cameron et al
	Murphy .  Penzias	5,596,642 5,596,643	1/1997 1/1997	Davis et al
5,321,751 6/1994 5,336,870 8/1994	Ray et al	5,604,802 5,621,797 5,623,547	4/1997	Holloway
5,341,429 8/1994 5,347,632 9/1994 5,351,186 9/1994	Stringer et al	5,642,419 5,694,551	6/1997	Rosen
5,351,293 9/1994 5,383,113 1/1995	Michener et al	5,715,314 5,724,424		Payne et al

U.S. Patent Jun. 1, 1999 Sheet 1 of 25



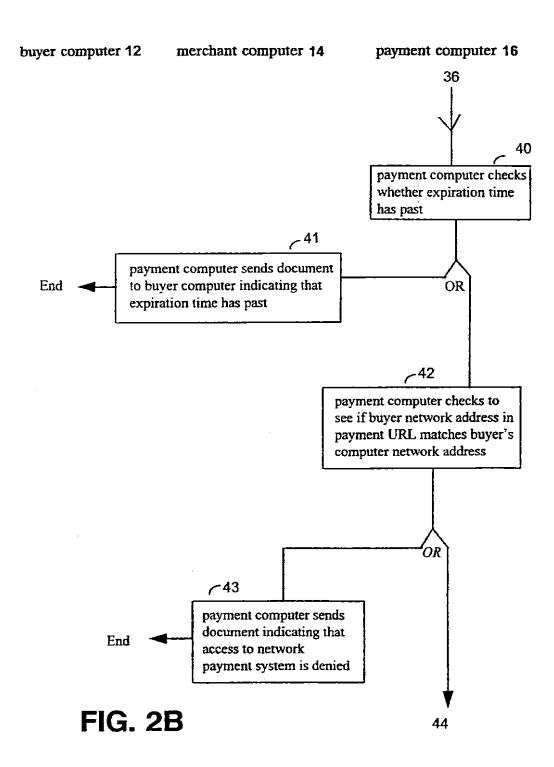
Jun. 1, 1999

Sheet 2 of 25



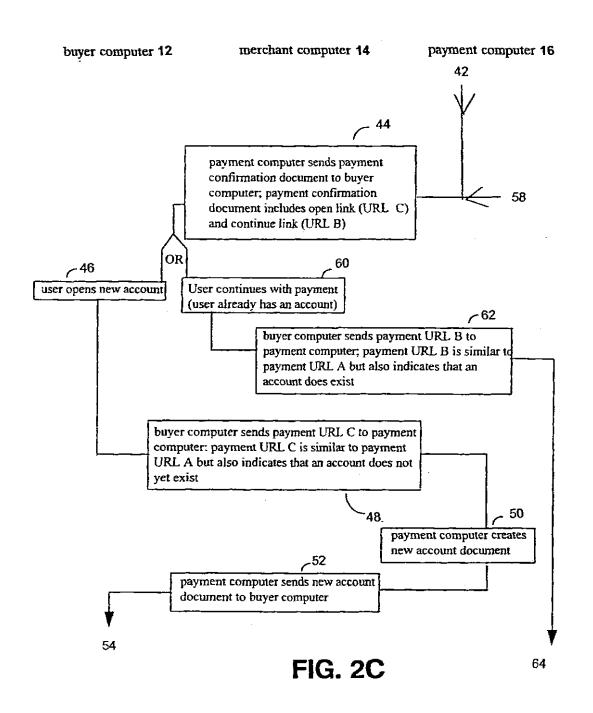
Jun. 1, 1999

Sheet 3 of 25



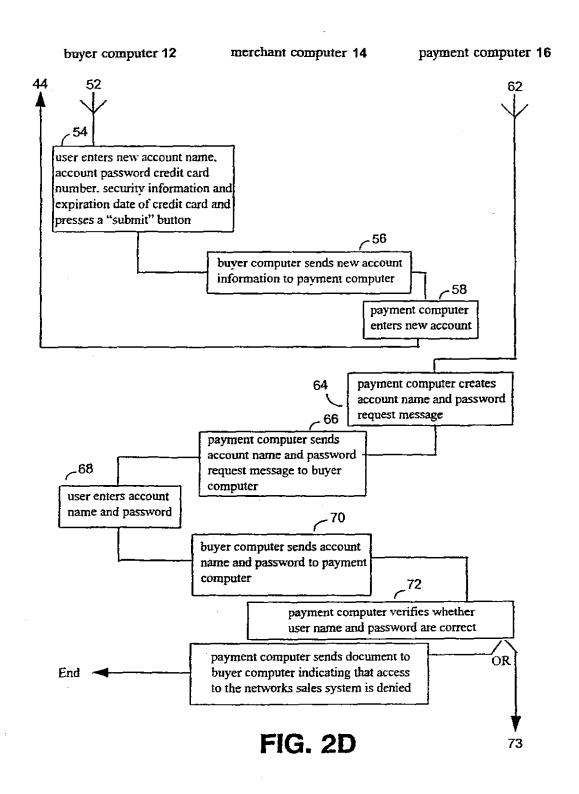
Jun. 1, 1999

Sheet 4 of 25



Jun. 1, 1999

Sheet 5 of 25



Jun. 1, 1999

Sheet 6 of 25

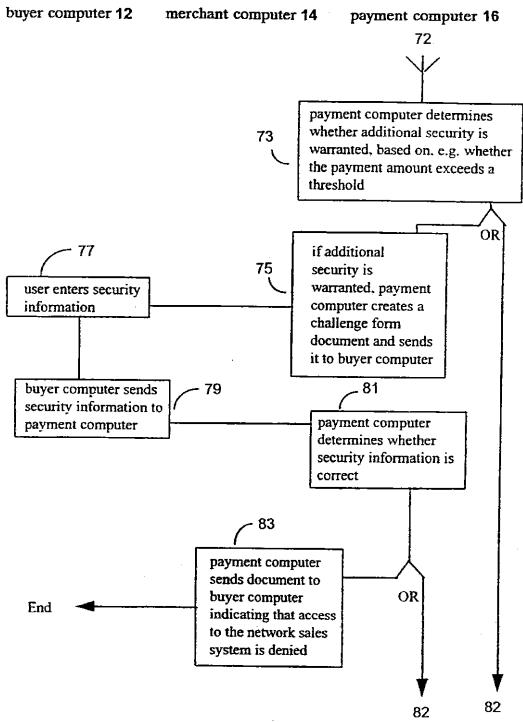


FIG. 2E

Jun. 1, 1999

Sheet 7 of 25

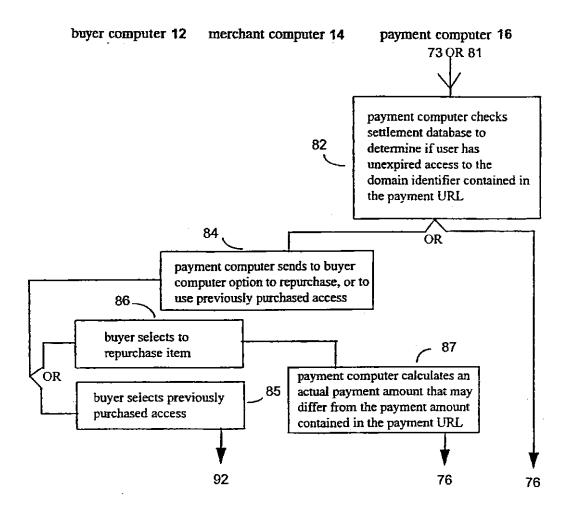
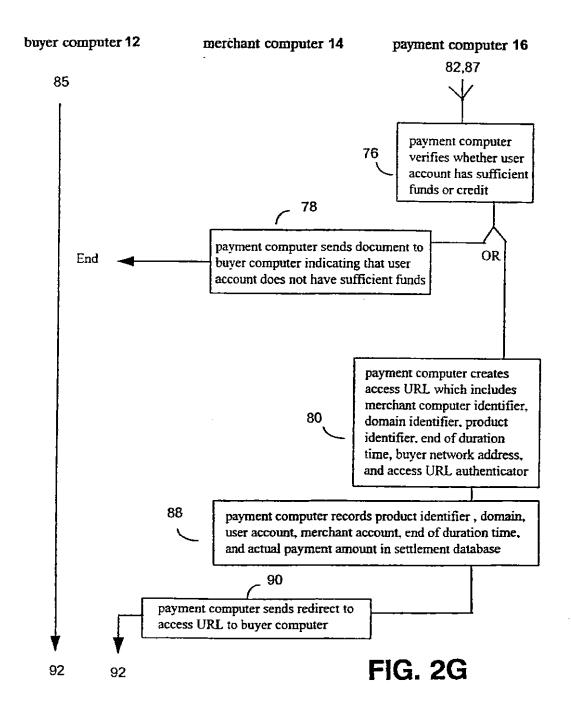


FIG. 2F

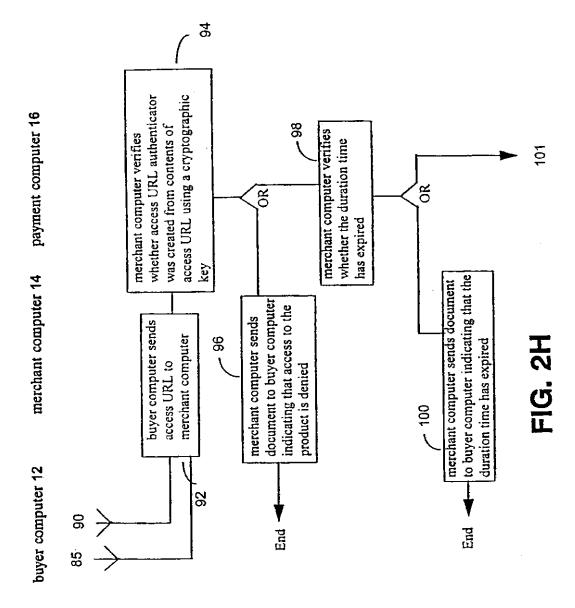
Jun. 1, 1999

Sheet 8 of 25



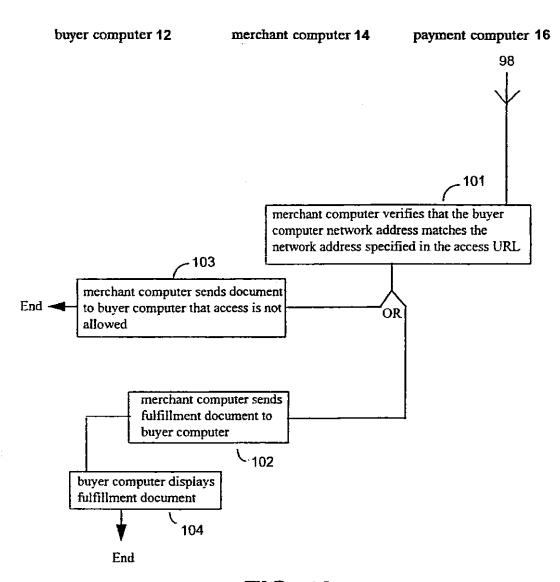
Jun. 1, 1999

Sheet 9 of 25



Jun. 1, 1999

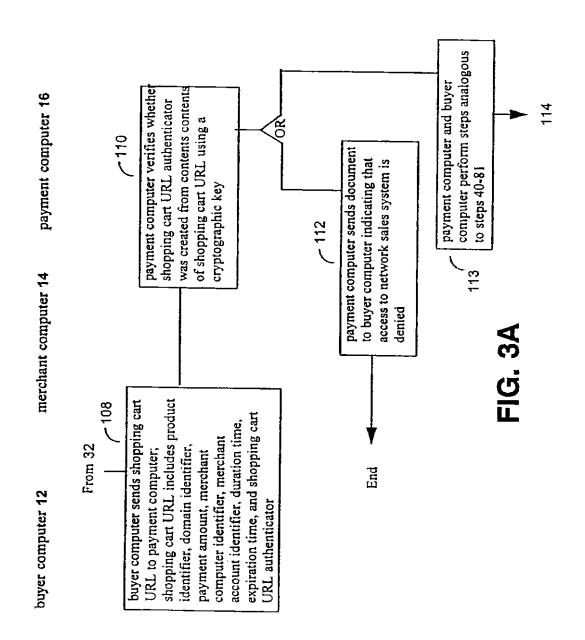
Sheet 10 of 25



**FIG. 21** 

Jun. 1, 1999

Sheet 11 of 25



Jun. 1, 1999

Sheet 12 of 25

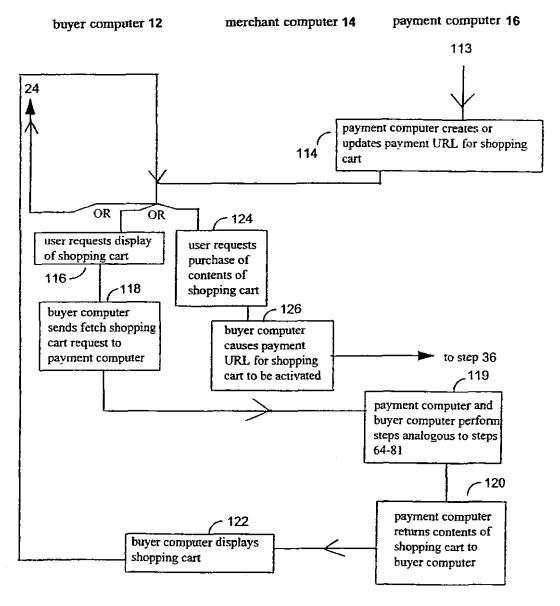
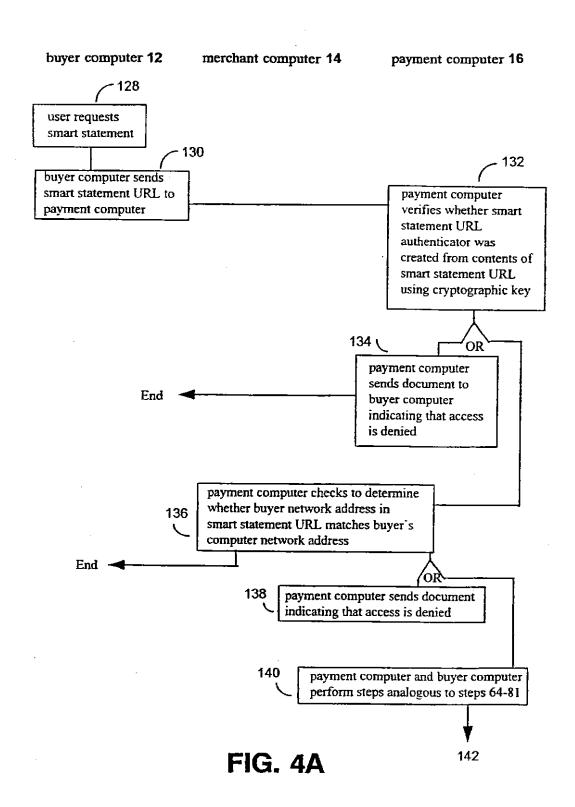


FIG. 3B

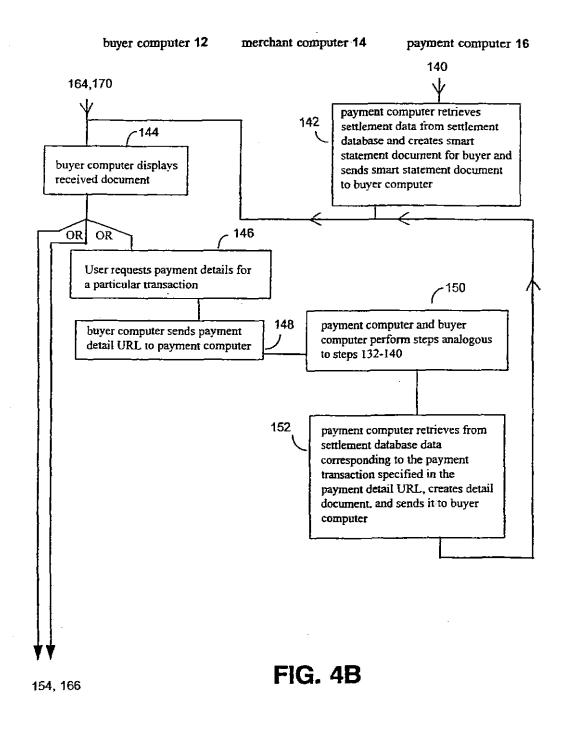
Jun. 1, 1999

**Sheet 13 of 25** 



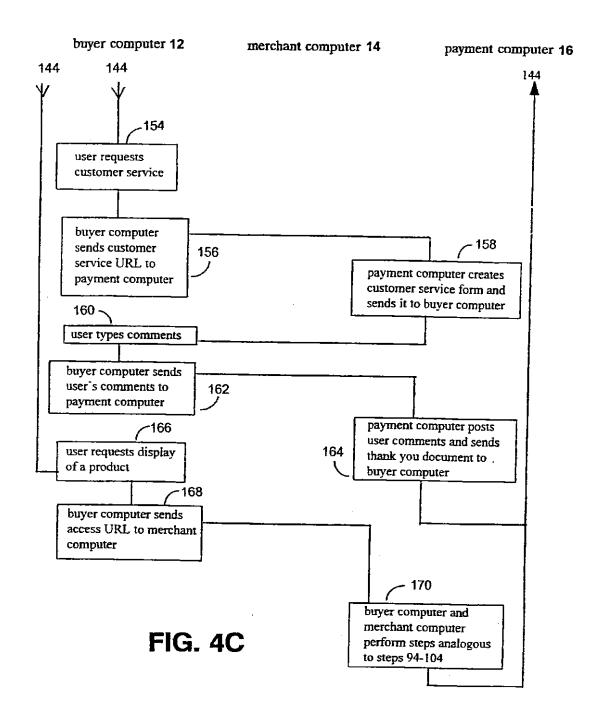
Jun. 1, 1999

**Sheet 14 of 25** 



Jun. 1, 1999

**Sheet 15 of 25** 



Jun. 1, 1999

Sheet 16 of 25

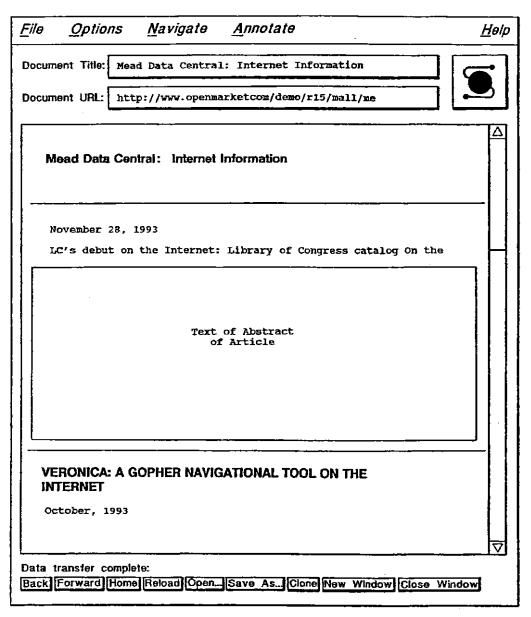


FIG. 5

Jun. 1, 1999

Sheet 17 of 25

<u>File Options Navigate Annotate</u> <u>I</u>	<u>H</u> elp
Document Title: Open Market Payment	-
Document URL: http://payment.openmarket.com/ben/nph-payment	5
	百
Open Market Payment	
You have selected an item that requires payment	
<pre>Nerchant:Test Merchant Description:Mead Data Central Article Amount:2.85(US currency)</pre>	
If you have an Open Market account click on "continue" below and you will be prompted for your account name and password. If you do not have an account, you can establish one on-line and return to this page to continue your purchase.	
Open an account on-line  Continue with payment transaction.	
NOTE: For demonstrations use the account name testuser@openmarket.com with the password testuser.	
Open Market, Inc.	
	⊽
Data transfer complete:  Back Forward Home Reload Open Save As Clone New Window Close Window	

FIG. 6

Jun. 1, 1999

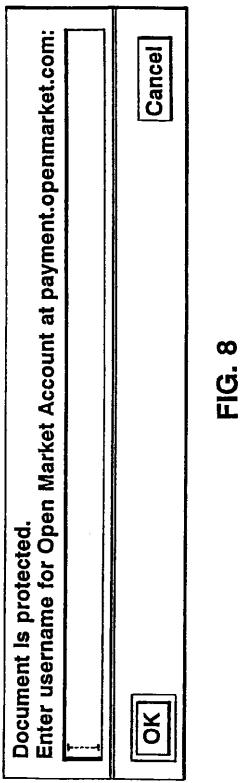
**Sheet 18 of 25** 

<u>File Options Navigate Annotate</u>	<u>H</u> elp
Document Title: Establish OpenMarket Account  Document URL: http://payment.openmarket.com/service/destabli.	
Card Number:  Expiration Date:	a hly
Account name and password  Please choose an account name and password for your OpenMarket account. We suggest using an account name that is unique and easy to remember such as your e-mail address. Your password should be 8 characters or longer.  Account Name  Password	pur
Data transfer complete:  Back Forward Home Reload Open. Save As. Clone New Window Close Window	<b>₩</b>

FIG. 7

Jun. 1, 1999

**Sheet 19 of 25** 



Jun. 1, 1999

Sheet 20 of 25

<u>File Options Navigate Annotate</u>	<u>H</u> elp
Document Title: Open Market Payment	
Document URL: http://payment.openmarket.com/ben/nph-payment	
Open Market Payment	Δ
You have selected an item that you have purchased recently.  Merchant: Test Merchant Description: Mead Data Central Article Amount: 2.85(US currency)	
This could happen because you would like to buy the item again or it may have hap by accident.	pened
You can:  • Go directly to the previous item • Go ahead and buy the item again	
Open Market, Inc.	
Data transfer complete:  Back Forward Home Reload Open Save As Clone New Window Close Win	dow

FIG. 9

Jun. 1, 1999

**Sheet 21 of 25** 

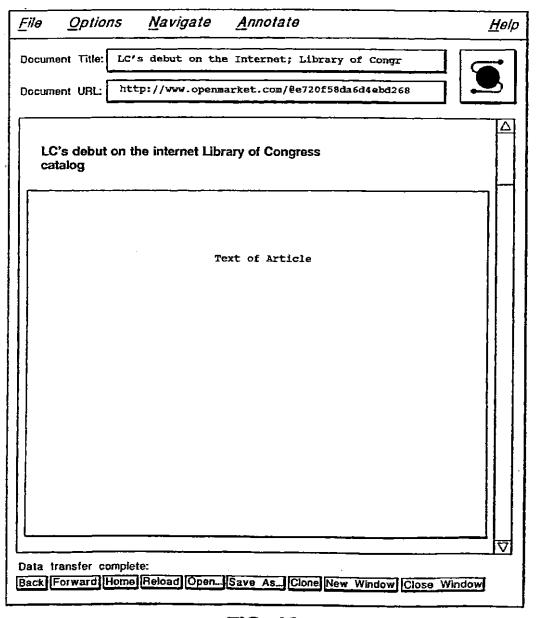
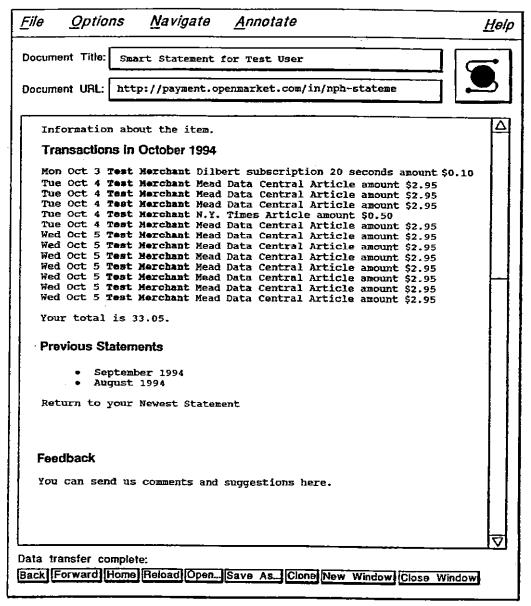


FIG. 10

Jun. 1, 1999

Sheet 22 of 25



**FIG. 11** 

Jun. 1, 1999

**Sheet 23 of 25** 

ile <u>O</u> ptions <u>N</u> avigate <u>A</u> nnotate	<u>H</u> elp
Document Title: Smart Statement Detail	<b>G</b>
Document URL: http://payment.openmarket.com/@c632f154cc8021	
Smart Statement Detail	
This is the detailed information about a particular transaction from your Smart St	atement
Transaction Information	
url: http://www.openmarket.com/demos/aug15/mall/mead-fingerprint/mkarticle.transaction_log_id: 50254.0 currency: US transaction_date: 781377633	cgo
initiator: 1.0 expiration: 2592000 description: Mead Data Central Article amount: 2.95	
beneficiary: 3.0 ip_address: 199.170.183.13 transaction_type.p domain: mead.internet-1	
Merchant Information	
telephone: 617-621-9501 address_1: Open Harket, Inc. address_2: 215 First Street fax: 617-621-1703	
address_3: Cambridge, MA email: testmerchant@openmarket.com principal_name: Test Merchant	
Data transfer complete:	
Back Forward Home Reload Open Save As. Clone New Window Close Y	Yindow

FIG. 12

Jun. 1, 1999

Sheet 24 of 25

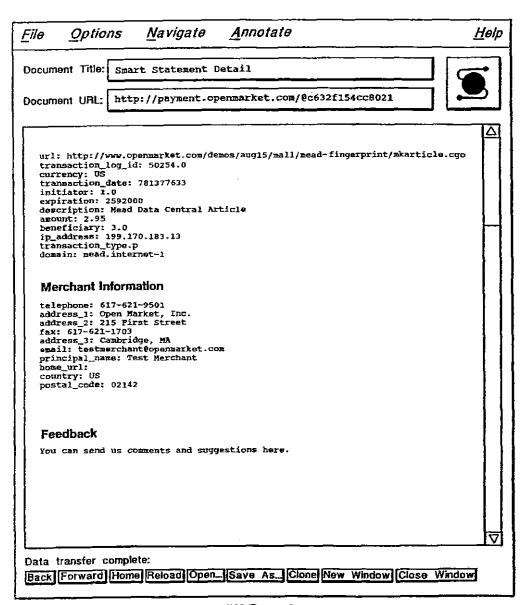


FIG. 13

Jun. 1, 1999

**Sheet 25 of 25** 

<u>File Options Navigate Annotate</u>	<u>H</u> elp
Document Title: Open Market Feedback  Document URL: http://payment.openmarket.com/ben/feedback.cg	3
Or if you prefer, you can send your comments via electronic mail to feedbackGopenmarket.com or via FAX to +1.617.621.1703.If you would like a reply please include your e-mail address.	Δ,
Your Open Market accound name (optional):	
Your E-mail address (optional):  Subject:	
Your comments:	
▽	
Submit Feedback  Data transfer complete:	▽
Back Forward Home Reload Open Save As Clone New Window Close Window	

FIG. 14

5,909,492

1

### NETWORK SALES SYSTEM

## CROSS REFERENCE TO RELATED APPLICATION

This is a continuation of U.S. patent application Ser. No. 08/328,133, filed Oct. 24, 1994, now U.S. Pat. No. 5,715, 314

### REFERENCE TO MICROFICHE APPENDICES

Microfiche Appendices A-G are being submitted with the present application, being 4 sheets with 220 total pages.

### BACKGROUND OF THE INVENTION

This invention relates to user-interactive network sales <sup>15</sup> systems for implementing an open marketplace for goods or services over computer networks such as the Internet.

U.S. patent application Ser. No. 08/168,519, filed Dec. 16, 1993 by David K. Gifford and entitled "Digital Active Advertising," now abandoned, the entire disclosure of which is hereby incorporated herein in its entirety by reference, describes a network sales system that includes a plurality of buyer computers, a plurality of merchant computers, and a payment computer. A user at a buyer computer asks to have advertisements displayed, and the buyer computer requests advertisements from a merchant computer, which sends the advertisements to the buyer computer. The user then requests purchase of an advertised product, and the buyer computer sends a purchase message to the merchant computer. The merchant computer constructs a payment order that it sends to the payment computer, which authorizes the purchase and sends an authorization message to the merchant computer. When the merchant computer receives the authorization message it sends the product to the buyer 35 computer.

The above-mentioned patent application also describes an alternative implementation of the network sales system in which, when the user requests purchase of an advertised product, the buyer computer sends a payment order directly to the payment computer, which sends an authorization message back to the buyer computer that includes an unforgeable certificate that the payment order is valid. The buyer computer then constructs a purchase message that includes the unforgeable certificate and sends it to the merchant computer. When the merchant computer receives the purchase request it sends the product to the buyer computer, based upon the pre-authorized payment order.

### SUMMARY OF THE INVENTION

In one aspect, the invention provides a network-based sales system that includes at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer. The buyer computer, the merchant computer, and the payment 55 computer are interconnected by a computer network. The buyer computer is programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to the payment computer that comprises a product identifier identifying the product. The payment computer is 60 programmed to receive the payment message, to cause an access message to be created that comprises the product identifier and an access message authenticator based on a cryptographic key, and to cause the access message to be sent to the merchant computer. The merchant computer is 65 programmed to receive the access message, to verify the access message authenticator to ensure that the access

2

message authenticator was created using the cryptographic key, and to cause the product to be sent to the user desiring to buy the product.

The invention provides a simple design architecture for the network sales system that allows the merchant computer to respond to payment orders from the buyer computer without the merchant computer having to communicate directly with the payment computer to ensure that the user is authorized to purchase the product and without the 10 merchant computer having to store information in a database regarding which buyers are authorized to purchase which products. Rather, when the merchant computer receives an access message from the buyer computer identifying a product to be purchased, the merchant computer need only check the access message to ensure that it was created by the payment computer (thereby establishing for the merchant computer that the buyer is authorized to purchase the product), and then the merchant computer can cause the product to be sent to the buyer computer who has been authorized to purchase the product.

In another aspect, the invention features a network-based sales system that includes at least one buyer computer for operation by a user desiring to buy products, at least one shopping cart computer, and a shopping cart database connected to the shopping cart computer. The buyer computer and the shopping cart computer are interconnected by a computer network. The buyer computer is programmed to receive a plurality of requests from a user to add a plurality of respective products to a shopping cart in the shopping cart database, and, in response to the requests to add the products, to send a plurality of respective shopping cart messages to the shopping cart computer each of which includes a product identifier identifying one of the plurality of products. The shopping cart computer is programmed to receive the plurality of shopping cart messages, to modify the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart, and to cause a payment message associated with the shopping cart to be created. The buyer computer is programmed to receive a request from the user to purchase the plurality of products added to the shopping cart and to cause the payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart.

In another aspect, the invention features a network-based link message system that includes at least one client computer for operation by a client user and at least one server computer for operation by a server user. The client computer and the server computer are interconnected by a computer 50 network. The client computer is programmed to send an initial link message to the server computer. The server computer is programmed to receive the initial link message from the client computer and to create, based on information contained in the initial link message, a session link message that encodes a state of interaction between the client computer and the server computer. The session link message includes a session link authenticator, computed by a cryptographic function of the session link contents, for authenlicating the session link message. The server computer is programmed to cause the session link message to be sent to the client computer. The client computer is programmed to cause the session link message to be sent to a computer in the network that is programmed to authenticate the session link message by examining the session link authenticator and that is programmed to respond to the session link message based on the state of the interaction between the client computer and the server computer.

In another aspect, the invention features a network-based sales system that includes a merchant database having a plurality of digital advertisements and a plurality of respective product fulfillment items, at least one creation computer for creating the merchant database, and at least one merchant 5 computer for causing the digital advertisements to be transmitted to a user and for causing advertised products to be transmitted to the user. The creation computer and the merchant computer are interconnected by a computer network. The creation computer is programmed to create the merchant database, and to transmit the digital advertisements and the product fulfillment items to the merchant computer. The merchant computer is programmed to receive the digital advertisements and product fulfillment items, to receive a request for a digital advertisement from a user, to cause the digital advertisement to be sent to the user, to receive from the user an access message identifying an advertised product, and to cause the product to be sent to the user in accordance with a product fulfillment item corresponding to the product.

In another aspect, the invention features a hypertext 20 statement system that includes a client computer for operation by a client user and one or more server computers for operation by a server user. The client computer and the server computers are interconnected by a computer network. At least one of the server computers is programmed to 25 record purchase transaction records in a database. Each of the purchase transaction records includes a product description. The server computer is programmed to transmit a statement document that includes the purchase transaction records to the client computer. The client computer is programmed to display the product descriptions, to receive a request from the client user to display a product corresponding to a product description displayed by the client computer, and to cause a product hypertext link derived from a purchase transaction record to be activated. At least one of the server computers is programmed to respond to activation 35 of the product hypertext link by causing the product to be sent to the client computer.

In another aspect, the invention features a network payment system that includes at least one buyer computer for operation by a user desiring to buy a product and at least one 40 payment computer for processing payment messages from the buyer computer. The buyer computer and the payment computer are interconnected by a computer network. The buyer computer is programmed to cause a payment message to be sent to the payment computer. The payment message 45 includes a product identifier identifying the product that the user desires to buy. The payment computer is programmed to receive the payment message, to cause an access message to be created to enable the user to access the product, and to record a purchase transaction record in the settlement data- 50 base. The buyer computer is programmed to cause a request for purchase transaction records to be sent to the payment computer. The payment computer is programmed to receive the request for purchase transaction records and to cause a document derived from the purchase transaction records to 55 be sent to the buyer computer.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. I is a block diagram of a network sales system in accordance with the present invention.

FIG. 2 (2-A through 2-I) is a flowchart diagram illustrating the operation of a purchase transaction in the network sales system of FIG. 1.

FIG. 3 (3-A through 3-B) is a flowchart diagram illustrating the use of a shopping cart for the purchase of 65 products in connection with the network sales system of FIG. 1.

FIG. 4 (4-A through 4-C) is a flowchart diagram illustrating the operation of a smart statement in the network sales system of FIG. 1.

FIG. 5 is a screen snapshot of an advertising document that the merchant computer sends to the buyer computer in

FIG. 6 is a screen snapshot of a confirmation document that the payment computer sends to the buyer computer in

FIG. 7 is a screen snapshot of a new account document that the payment computer sends to the buyer computer in FIG. 2.

FIG. 8 is a screen snapshot of an account name prompt 15 that the buyer computer creates in FIG. 2.

FIG. 9 is a screen snapshot of a document that the payment computer sends to the buyer computer in FIG. 2 and that provides an option either to repurchase or to use a previously purchased access.

FIG. 10 is a screen snapshot of a fulfillment document that the merchant computer sends to the buyer computer in FIG.

FIG. 11 is a screen snapshot of a smart statement document that the payment computer sends to the buyer computer in FIG. 4.

FIGS. 12 and 13 are screen snapshots of a transaction detail document that the payment computer sends to the buyer computer in FIG. 4.

FIG. 14 is a screen snapshot of a customer service form that the payment computer sends to the buyer computer in FIG. 4.

### DETAILED DESCRIPTION

With reference to FIG. 1, a network sales system in accordance with the present invention includes a buyer computer 12 operated by a user desiring to buy a product, a merchant computer 14, which may be operated by a merchant willing to sell products to the buyer or by a manager of the network sales system, a payment computer 16 typically operated by a manager of the network sales system, and a creation computer 20 typically operated by the merchant. The buyer, merchant, payment, and creation computers are all inter-connected by a computer network 10 such as the Internet.

Creation computer 20 is programmed to build a "store" of products for the merchant. A printout of a computer program for use in creating such a "store" in accordance with the present invention is provided as Appendix F.

The products advertised by merchant computer 14 may be, for example, newspaper or newsletter articles available for purchase by buyers. Creation computer 20 creates a digital advertisement database 18 that stores advertising documents (which may for example be in the form of summaries of newspaper or newsletter articles, accompanied by prices) and product fulfillment items (which may be the products themselves if the products can be transmitted over the network, or which may be hard goods identifiers if the products are hard goods, i.e., durable products as opposed to information products). Creation computer 20 transmits contents of the advertising document database 18 to merchant computer 14 to enable the merchant computer to cause advertisements and products to be sent to buyers. Merchant computer 14 maintains advertising documents locally in advertising document database 15. In an alternative embodiment, the creation computer does not have a local digital advertisement database, but instead updates a remote

advertising document database on a merchant computer. These updates can be accomplished using HTML forms or other remote database technologies as is understood by practitioners of the art.

Payment computer 16 has access to a settlement database 5 22 in which payment computer 16 can record details of purchase transactions. The products may be organized into various "domains" of products, and payment computer 16 can access settlement database 22 to record and retrieve records of purchases of products falling within the various domains. Payment computer 16 also has access to a shopping cart database 21 in which a "shopping cart" of products that a user wishes to purchase can be maintained as the user shops prior to actual purchase of the contents of the shopping cart.

With reference to FIG. 2, a purchase transaction begins when a user at buyer computer 12 requests advertisements (step 24) and buyer computer 12 accordingly sends an advertising document URL (universal resource locator) to merchant computer 14 (step 26). The merchant computer fetches an advertising document from the advertising document database (step 28) and sends it to the buyer computer (step 30). An example of an advertising document is shown in FIG. 5. Details of URLs and how they are used are found in Appendix G.

The user browses through the advertising document and eventually requests a product (step 32). This results in the buyer computer sending payment URL A to the payment computer (step 34). Payment URL A includes a product identifier that represents the product the user wishes to buy, a domain identifier that represents a domain of products to which the desired product belongs, a payment amount that represents the price of the product, a merchant computer identifier that represents merchant computer 14, a merchant 35 account identifier that represents the particular merchant account to be credited with the payment amount, a duration time that represents the length of time for which access to the product is to be granted to the user after completion of the purchase transaction, an expiration time that represents a deadline beyond which this particular payment URL cannot be used, a buyer network address, and a payment URL authenticator that is a digital signature based on a cryptographic key. The payment URL authenticator is a hash defined by a key shared by the merchant and the operator of the payment computer.

In an alternative embodiment, step 34 consists of the buyer computer sending a purchase product message to the merchant computer, and the merchant computer provides 50 payment URL A to the buyer computer in response to the purchase product message. In this alternative embodiment, payment URL A contains the same contents as above. The buyer computer then sends the payment URL A it has received from the merchant computer to the payment com- 55 puter.

When the payment computer receives the payment URL it verifies whether the payment URL authenticator was created from the contents of the payment URL using the cryptographic key (step 36). If not, the payment computer 60 sends a document to the buyer computer indicating that access to the network sales system is denied (step 38). Otherwise, the payment computer determines whether the expiration time has past (step 40). If it has, the payment computer sends a document to the buyer computer indicat- 65 ing that the time has expired (step 41). Otherwise, the payment computer checks the buyer computer network

6

address to see if it matches the one specified in the payment URL (step 42). If it does not match, the payment computer sends a document to the buyer computer indicating that access to the network payment system is denied (step 43). Otherwise, the payment computer sends a payment confirmation document to the buyer computer, the payment confirmation document including an "open" link and a "contime" link (step 44).

An example of a confirmation document is shown in FIG. 6. The confirmation document asks the user to click on a "continue" button if the user already has an account with the payment computer, or to click on an "open" button if the user does not already have an account and wishes to open

If the user clicks on the "open" button (step 46), the buyer computer sends payment URL C to the payment computer (step 48), payment URL C being similar to payment URL A but also indicating that the user does not yet have an account. The payment computer creates a new account document (step 50) and sends it to the buyer computer (step 52). An example of a new account document is shown in FIG. 7. When the user receives the new account document he enters the new account name, an account password, a credit card number, the credit card expiration date, and security information such as the maiden name of the user's mother (step 54), and presses a "submit" button (not shown in FIG. 7). The buyer computer sends the new account information to the payment computer (step 56), which enters the new account in the settlement database (step 58).

If the user clicks on the "continue" button (step 60), the buyer computer sends payment URL B to the payment computer (step 62), payment URL B being similar to payment URLA but also indicating that the user already has an account. The payment computer then instructs the buyer computer to provide the account name and password (steps 64 and 66), and the buyer computer prompts the user for this information by creating an account name prompt (example shown in FIG. 8) and a similar password prompt. The user enters the information (step 68) and the buyer computer sends the account name and password to the payment computer (step 70).

The payment computer verifies whether the user name and password are correct (step 72). If they are not correct, of other information in the payment URL, the hash being 45 the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 74). Otherwise, the payment computer determines whether additional security is warranted, based on, e.g., whether the payment amount exceeds a threshold (step 73). If additional security is warranted, the payment computer creates a challenge form document and sends it to the buyer computer (step 75). The user enters the security information (step 77), the buyer computer sends the security information to the payment computer (step 79), and the payment computer determines whether the security information is correct (step 81). If it is not correct, the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 83).

> If the security information is correct, or if additional security was not warranted, the payment computer checks the settlement database to determine whether the user has unexpired access to the domain identifier contained in the payment URL (step 82). If so, the payment computer sends to the buyer computer a document providing an option either to repurchase or to use the previously purchased access (step 84). An example of such a document is shown in FIG. 9. The

### 5,909,492

Document 1

user can respond to the recent purchase query document by choosing to access the previously purchased document (step 85) or to go ahead and buy the currently selected product (step 86).

If the user chooses to access the previously purchased 5 document, the buyer computer skips to step 92 (see below). If the user chooses to buy the currently selected product, the payment computer calculates an actual payment amount that may differ from the payment amount contained in the payment URL (step 87). For example, the purchase of a 10 product in a certain domain may entitle the user to access other products in the domain for free or for a reduced price for a given period of time.

The payment computer then verifies whether the user account has sufficient funds or credit (step 76). If not, the payment computer sends a document to the buyer computer indicating that the user account has insufficient funds (step 78). Otherwise, the payment computer creates an access URL (step 80) that includes a merchant computer identifier, a domain identifier, a product identifier, an indication of the end of the duration time for which access to the product is to be granted, the buyer network address, and an access URL authenticator that is a digital signature based on a cryptographic key. The access URL authenticator is a hash of other information in the access URL, the hash being defined by a key shared by the merchant and the operator of the payment computer. The payment computer then records the product identifier, the domain, the user account, the merchant account, the end of duration time, and the actual payment amount in the settlement database (step 88).

The payment computer then sends a redirect to access URL to the buyer computer (step 90), which sends the access URL to the merchant computer (step 92). The merchant computer verifies whether the access URL authenticator was created from the contents of the access URL using the cryptographic key (step 94). If not, the merchant computer sends a document to the buyer computer indicating that access to the product is denied (step 96).

Otherwise, the merchant computer verifies whether the 40 duration time for access to the product has expired (step 98). This is done because the buyer computer can request access to a purchased product repeatedly. If the duration time has expired, the merchant computer sends a document to the buyer computer indicating that the time has expired (step 45 100). Otherwise the merchant computer verifies that the buyer computer network address is the same as the buyer network address in the access URL (step 101), and if so, sends a fulfillment document to the buyer computer (step 102), which is displayed by the buyer computer (step 104). An example of a fulfillment document is shown in FIG. 10. Otherwise, the merchant computer sends a document to the buyer computer indicating that access is not allowed (step 103).

With reference now to FIG. 3, when the merchant com- 55 puter sends the advertising document to the buyer computer, the user may request that a product be added to a shopping cart in the shopping cart database rather than request that the product be purchased immediately. The buyer computer sends a shopping cart URL to the payment computer (step 60 108), the shopping cart URL including a product identifier, a domain identifier, a payment amount, a merchant computer identifier, a merchant account identifier, a duration time, an expiration time, and a shopping cart URL authenticator that is a digital signature based on a cryptographic key. The 65 shopping cart URL authenticator is a hash of other information in the shopping cart URL, the hash being defined by

a key shared by the merchant and the operator of the payment computer.

The payment computer verifies whether the shopping cart URL authenticator was created from the contents of the shopping cart URL using a cryptographic key (step 110). If not, the payment computer sends a document to the buyer computer indicating that access to the network sales system is denied (step 112). Otherwise, before any modification to a user's shopping cart is allowed, user authentication is performed (step 113) in a manner analogous to steps 40-81. Once the user is authenticated, the payment computer creates or updates a payment URL for the shopping cart (step 114).

The user then either requests more advertisements (step 24 in FIG. 2) and possibly adds another product to the shopping cart, requests display of the shopping cart (step 116), or requests purchase of the entire contents of the shopping cart (step 124). If the user requests display of the shopping cart (step 116), the buyer computer sends a fetch shopping cart request to the payment computer (step 118), and the payment computer and buyer computer (step 119) perform steps analogous to steps 64-81. The payment computer returns the contents of the shopping cart to the buyer computer (step 120), which displays the contents of the shopping cart (step 122). If the user requests that the entire contents of the shopping cart be purchased (step 124) the buyer computer causes the payment URL for the shopping cart to be activated (step 126) and the payment URL is processed in a manner analogous to the processing of payment URLs for individual products (beginning with step 36 in FIG. 2).

With reference now to FIG. 4, a user can request display of a "smart statement" that lists purchase transactions for a given month (step 128). When the buyer computer receives such a request, it sends a smart statement URL to the payment computer (step 130).

When the payment computer receives the smart statement URL, it verifies whether the smart statement URL authenticator was created from the contents of the smart statement URL using a cryptographic key (step 132). If not, the payment computer sends a document to the buyer computer indicating that access is denied (step 134). Otherwise, the payment computer checks to determine whether the buyer network address in the smart statement URL matches the buyer computer's actual network address (step 136). If not, the payment computer sends a document to the buyer computer indicating that access is denied (step 138). Otherwise (step 140), the payment computer and buyer computer perform a set of steps analogous to steps 64-81 in FIG. 2 (payment computer requests account name and password, user provides the requested information, and payment computer verifies the information).

In an alternative embodiment steps 132-138 are omitted. After verification of account information is complete, the payment computer retrieves the requested settlement data from the settlement database, creates a smart statement document for the buyer, and sends the smart statement document to the buyer computer (step 142). An example of a smart statement document is shown in FIG. 11. Each purchase transaction record in the smart statement document includes the data of the transaction, the name of the merchant, an identification of the product, and the payment amount for the product. The smart statement document also includes a transaction detail URL for each purchase transaction (these URLs, or hypertext links, are discussed below and are not shown in FIG. 11). The smart statement docu-

ment also identifies previous statements that the user may wish to have displayed.

The buyer computer displays the retrieved document (step 144), and the user may request transaction details for a particular transaction listed on the smart statement (step 146). If so, the buyer computer sends a transaction detail URL (or "payment detail URL") to the payment computer (step 148). The transaction detail URL includes a transaction identifier, a buyer network address, and a transaction detail URL authenticator. When the payment computer receives 10 the transaction detail URL, it performs (step 150) a set of steps analogous to steps 132-140 (verification of URL authenticator, buyer network address, and account information). The payment computer then retrieves from the settlement database data corresponding to the payment 15 transaction specified in the transaction detail URL, creates a transaction detail document, and sends it to the buyer computer (step 152).

An example of a transaction detail document is shown in FIGS. 12 and 13. The document displays a number of items of information about the transaction, including the transaction date, end of the duration time ("expiration"), a description of the product, the payment amount, the domain corresponding to the product, an identification of the merchant, and the merchant's address.

The smart statement document and the transaction detail document both include customer service URLs (hypertext links) that allow the user to request customer service (i.e., to send comments and suggestions to the payment computer). When the user requests customer service (step 154), the buyer computer sends the customer service URL to the payment computer (step 156), which creates a customer service form and sends it to the buyer computer (step 158). An example of a customer service form is shown in FIG. 14. The user types comments into the customer service form (step 160), and the buyer computer sends the user's comments to the payment computer (step 162). The payment computer then posts the user comments and sends a thank you document to the buyer computer (step 164).

A user may request display of a product included in the smart statement. When the user requests that the product be displayed (step 166), the buyer computer sends the access URL contained in the smart statement document to the merchant computer (step 168), and the buyer computer and merchant computer perform a set of steps analogous to steps 94-104 in FIG. 2 (authentication of access URL, verification whether duration time has expired, verification of buyer network address, and transmission of fulfillment document to buyer computer).

Whenever the present application states that one computer sends a URL to another computer, it should be understood that in preferred embodiments the URL is sent in a standard HTTP request message, unless a URL message is specified as a redirection in the present application. The request 55 message includes components of the URL as described by the standard HTTP protocol definition. These URL components in the request message allow the server to provide a response appropriate to the URL. The term "URL" as used the present application is an example of a "link," which is a 60 pointer to another document or form (including multimedia documents, hypertext documents including other links, or audio/video documents).

When the present application states that one computer sends a document to another computer, it should be understood that in preferred embodiments the document is a success HTTP response message with the document in the

10

body of the message. When the present application states that a server sends an account name and password request message to the client, it should be understood that in preferred embodiments the account name and password request message is an unauthorized HTTP response. A client computer sends account name and password information to a server as part of a request message with an authorization

The software architecture underlying the particular preferred embodiment is based upon the hypertext conventions of the World Wide Web. Appendix A describes the Hypertext Markup Language (HTML) document format used to represent digital advertisements, Appendix B describes the HTML forms fill out support in Mosaic 2.0, Appendix C is a description of the Hypertext Transfer Protocol (HTTP) between buyer and merchant computers, Appendix D describes how documents are named with Uniform Resource Locators (URLs) in the network of computers, and Appendix E describes the authentication of URLs using digital signatures.

A printout of a computer program for use in creating and operating such a "store" in accordance with the present invention is provided as Appendix F. A printout of a computer program for use in operating other aspects of the network sales system in accordance with the present invention is provided in Appendix G.

There has been described a new and useful network-based sales system. It is apparent that those skilled in the art may make numerous modifications and departures from the specific embodiments described herein without departing from the spirit and scope of the claimed invention.

What is claimed is:

- 1. A network-based sales system, comprising:
- a merchant database comprising a plurality of digital advertisements and a plurality of respective product fulfillment items:
- at least one creation computer for creating said merchant database; and
- at least one merchant computer for causing said digital advertisements to be transmitted to a user and for causing advertised products to be transmitted to said
- said creation computers, said merchant computer, and a payment computer being interconnected by a public packet switched computer network;
- said creation computer being programmed to create said merchant database, and to transmit said digital advertisements and said product fulfillment items over said network to said merchant computer,
- said merchant computer being programmed to receive said digital advertisements and product fulfillment items over said network, to receive over said network a request for a digital advertisement from a user, to cause said digital advertisement to be sent to said user over said network, to receive over said network from said user a product request message identifying an advertised product, to receive an access message over said network created by said payment computer, and to cause said product to be sent to said user in accordance with a product fulfillment item corresponding to said product and based upon receipt by the merchant computer of the access message.
- 2. A network-based sales system in accordance with claim 1, wherein each of said digital advertisements comprises an abstract of a product and a price.
- 3. A network-based sales system in accordance with claim 2, wherein:

- at least one of said product fulfillment items comprises a product itself; and
- said creation computer is programmed to transmit said product to said merchant computer with said digital advertisements.
- 4. A network-based sales system in accordance with claim 2, wherein:
  - at least one of said product fulfillment items comprises a hard good identifier; and
  - said creation computer is programmed to transmit said 10 hard good identifier to said merchant computer with said digital advertisements.
- 5. A method of operating a merchant computer in a network-based sales system comprising a merchant database that comprises a plurality of digital advertisements and a 15 plurality of respective product fulfillment items, at least one creation computer for creating said merchant database, and at least one merchant computer for causing said digital advertisements to be transmitted to a user and for causing advertised products to be transmitted to said user, and at 20 least one payment computer, said creation computer, said merchant computer, and said payment computer being interconnected by a public packet switched computer network, said method comprising the steps of:
  - receiving, at said merchant computer, said digital adver- 25 tisements and said product fulfillment items, said digital advertisements and said product fulfillment items having been transmitted over said network to said merchant computer by said creation computer, said merchant database comprising said digital advertisements and said product fulfillment items having been created by said creation computer;
  - receiving over said network a request for a digital advertisement from a user:
  - causing said digital advertisement to be sent to said user 35 over said network;
  - receiving over said network from said user a product request message identifying an advertised product;
  - receiving over said network an access message created by said payment computer; and
  - causing said product to be sent to said user in accordance with a product fulfillment item corresponding to said product and based upon receipt by the merchant computer of the access message.
  - 6. A hypertext statement system, comprising:
  - a client computer for operation by a client user; and
  - a plurality of server computers for operation by a server
  - said client computer and said server computers being 50 interconnected by a public packet switched computer network:
  - at least one of said server computers being programmed to record information pertaining to purchase transaction records in a database, each of said purchase 55 transaction records comprising a product description, and to cause a statement document comprising said purchase transaction records to be transmitted to said client computer over said network;
  - said client computer being programmed to display said 60 product descriptions, to receive a request from said client user to display a product corresponding to a product description displayed by said client computer, and to cause a product hypertext link derived from a purchase transaction record to be activated;
  - at least one of said server computers, other than a server computer that transmitted said statement document to

12

- said client computer, being programmed to respond to activation of said product hypertext link by causing said product to be sent to said client computer over said
- 7. A hypertext statement system in accordance with claim 6, wherein:
  - said client computer is programmed to receive a request from said client user to display transaction details corresponding to a product description displayed by said client computer and to cause a transaction detail hypertext link corresponding to said product description to be activated; and
  - at least one of said server computers is programmed to respond to activation of said transaction detail hypertext link by transmitting said transaction details to said client computer as a transaction detail document.
- 8. A hypertext statement system in accordance with claim 7, wherein:
  - said transaction detail document further comprises a customer service form hypertext link;
  - said client computer is programmed to receive a request from said client user to display a customer service form and to cause said customer service form hypertext link to be activated; and
  - at least one of said server computers is programmed to respond to activation of said customer service form hypertext link by transmitting said customer service form to said client computer.
- 9. A hypertext statement system in accordance with claim 30 6, wherein:
  - said statement document further comprises a customer service form hypertext link;
  - said client computer is programmed to receive a request from said client user to display a customer service form and to cause said customer service form hypertext link to be activated; and
  - at least one of said server computers is programmed to respond to activation of said customer service form hypertext link by transmitting said customer service form to said client computer.
  - 10. A method of operating a server computer in a hypertext statement system comprising a client computer for operation by a client user, and a plurality of server computers for operation by a server user, said client computer and said server computers being interconnected by a public packet switched computer network, said method comprising the steps of:
    - recording, at one of said server computers, information pertaining to purchase transaction records in a database, each of said purchase transaction records comprising a product description; and
    - causing a statement document comprising said purchase transaction records to be transmitted to said client computer over said network;
    - said client computer being programmed to display said product descriptions, to receive a request from said client user to display a product corresponding to a product description displayed by said client computer. and to cause a product hypertext link derived from a purchase transaction record to be activated;
    - at least one of said server computers, other than a server computer that transmitted said statement document to said client computer, being programmed to respond to activation of said product hypertext link by causing said product to be sent to said client computer over said network.

- 11. A network payment system, comprising:
- at least one buyer computer for operation by a user desiring to buy a product; and
- at least one payment computer for processing payment messages from said buyer computer,
- said buyer computer, said payment computer, and a merchant computer being interconnected by a public packet switched computer network;
- said buyer computer being programmed to cause a payment message to be sent to said payment computer over said network;
- said payment computer being programmed to receive said payment message, to cause an access message to be created for transmission over said network to said merchant computer to enable said user to access said product upon verification by said merchant computer that said access message was created by said payment computer, and to record information pertaining to a purchase transaction record in said settlement database; 20
- said buyer computer being programmed to cause a request for a purchase transaction record to be sent to said payment computer over said network; and
- said payment computer being programmed to receive said request for said purchase transaction record and to 25 cause a document derived from said purchase transaction record to be sent to said buyer computer over said
- 12. The network payment system of claim 11 wherein the payment message comprises a product identifier identifying 30 the product that the user desires to buy.
- 13. A method of operating a payment computer in a network payment system comprising at least one buyer computer for operation by a user desiring to buy a product, and at least one payment computer for processing payment 35 messages from said buyer computer, and at least one merchant computer, said buyer computer, said payment computer, and said merchant computer being interconnected by a public packet switched computer network, said method comprising the steps of:
  - receiving, at said payment computer, a payment message that said buyer computer has caused to be sent to said payment computer over said network;
  - causing an access message to be created for transmission to a merchant computer over said network to enable 45 said user to access said product upon verification by said merchant computer that said access message was created by said payment computer;
  - recording information pertaining to a purchase transaction 50 record in said settlement database;
  - receiving over said network a request for a purchase transaction record that said buyer computer has caused to be sent to said payment computer; and
  - causing a document derived from said purchase transaction record to be sent to said buyer computer over said network.
- 14. The method of claim 13 wherein the payment message comprises a product identifier identifying the product that the user desires to buy.
  - 15. A hypertext statement system, comprising:
  - a client computer for operation by a client user; and one or more server computers for operation by a server user:
  - the client computer and the server computers being inter- 65 connected by a public packet switched computer network;

14

- at least one of the server computers being programmed to record information pertaining to purchase transaction records in a database, and to transmit a statement document comprising the purchase transaction records to the client computer over the network;
- the client computer being programmed to display the statement document to receive a request from the client user to display transaction details corresponding to a portion of the statement document displayed by the client computer, and to cause a transaction detail hypertext link corresponding to the portion of the statement document to be activated;
- at least one of the server computers being programmed to respond to activation of the transaction detail hypertext link by transmitting the transaction details to the client computer over the network as a transaction detail document.
- 16. A method of operating a server computer in a hypertext statement system comprising a client computer for operation by a client user, and one or more server computers for operation by a server user, the client computer and the server computers being interconnected by a public packet switched computer network, the method comprising the steps of:
  - recording, at one of the server computers, information pertaining to purchase transaction records in a data-
  - transmitting a statement document comprising the purchase transaction records to the client computer over the network:
  - the client computer being programmed to display the statement document, to receive a request from the client user to display transaction details corresponding to a portion of the statement document displayed by the client computer, and to cause a transaction detail hypertext link corresponding to the portion of the statement document to be activated;
- at least one of the server computers being programmed to respond to activation of the transaction detail hypertext link by transmitting the transaction details to the client computer over the network as a transaction detail document.
- 17. A network-based sales system, comprising:
- at least one buyer computer for operation by a user desiring to buy products;
- at least one shopping cart computer; and
- a shopping cart database connected to the shopping cart computer:
- the buyer computer and the shopping cart computer being interconnected by a public packet switched computer
- the buyer computer being programmed to receive a plurabty of requests from a user to add a plurality of respective products to a shopping cart in the shopping cart database, and, in response to the requests to add the products, to send a plurality of respective shopping cart messages over the network to the shopping cart computer each of which comprises a product identifier identifying one of the plurality of products and at least one of which comprises a universal resource locator;
- the shopping cart computer being programmed to receive the plurality of shopping cart messages, to modify the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart, and to cause a payment message

associated with the shopping cart to be created, the payment message comprising a universal resource locator; and

the buyer computer being programmed to receive a request from the user to purchase the plurality of 5 products added to the shopping cart and to cause the payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart;

the shopping cart being a stored representation of a 10 collection of products, the shopping cart database being a database of stored representations of collections of products, and the shopping cart computer being a computer that modifies the stored representations of collections of products in the database.

18. A method of operating a shopping cart computer in a public packet switched computer network comprising at least one buyer computer for operation by a user desiring to buy products, at least one shopping cart computer, and a shopping cart database connected to the shopping cart 20 computer, the method comprising the steps of:

receiving, at the shopping cart computer, a plurality of shopping cart messages sent over the network to the shopping cart computer by the buyer computer in response to receipt of a plurality of requests from a user to add a plurality of respective products to a shopping cart in the shopping cart database, each of the shopping cart messages comprising a product identifier identifying one of the plurality of products and at least one of which comprises a universal resource locator;

modifying the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart; and

causing a payment message associated with the shopping cart to be created, the payment message comprising a 35 universal resource locator;

the buyer computer being programmed to receive a request from the user to purchase the plurality of products added to the shopping cart and to cause the payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart;

the shopping cart being a stored representation of a collection of products, the shopping cart database being a database of stored representations of collections of products, and the shopping cart computer being a computer that modifies the stored representations of collections of products in the database.

- 19. A network-based sales system, comprising:
- at least one buyer computer for operation by a user <sup>50</sup> desiring to buy a product;
- at least one merchant computer; and
- at least one payment computer;

the buyer computer, the merchant computer, and the 55 payment computer being interconnected by a computer network;

the buyer computer being programmed to receive a user request for purchasing a product, and to cause a payment message to be sent to the payment computer that 60 comprises a product identifier identifying the product;

the payment computer being programmed to receive the payment message, to cause an access message to be created that comprises a product identifier identifying the product and an access message authenticator based on a cryptographic key, and to cause the access message to be sent to the merchant computer; and

16

the merchant computer being programmed to receive the access message, to cause the access message authenticator to be verified to ensure that the access message authenticator was created using the cryptographic key, and to cause the product to be received by the user desiring to buy the product.

20. A network-based sales system in accordance with claim 19 wherein the buyer computer is programmed to cause the payment message to be sent to the payment computer by sending a purchase product message to the merchant computer, the merchant computer being programmed to receive the purchase product message, and in response thereto, to send the payment message to the payment computer.

21. A network-based sales system in accordance with claim 19 wherein the merchant computer is programmed itself to verify the access message authenticator.

22. A network-based sales system in accordance with claim 19 wherein the merchant computer is programmed to cause every access message authenticator received by the merchant computer to be verified.

23. A network-based sales system in accordance with claim 19, wherein the payment message comprises a payment amount.

24. A network-based sales system in accordance with claim 19, wherein the payment computer is programmed to record the product identifier and the payment amount.

25. A network-based sales system in accordance with claim 24, wherein the product identifier and the payment amount are recorded in a settlement database.

26. A network-based sales system in accordance with claim 19, wherein the payment message comprises a merchant computer identifier.

27. A network-based sales system in accordance with claim 19, wherein the payment message comprises a payment message authenticator based on a cryptographic key.

28. A network-based sales system in accordance with claim 27, wherein the payment computer is programmed to verify the payment message authenticator to ensure that the payment message authenticator was created using the cryptographic key.

29. A network-based sales system in accordance with claim 19 wherein the computer network is a public packet-switched communications network.

30. A method of operating a payment computer in a computer network comprising at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer, the method comprising the steps of:

receiving, at the payment computer, a payment message that the buyer computer has caused to be sent to the payment computer in response to a user request for purchasing a product, the payment message comprising a product identifier identifying the product;

causing an access message to be created that comprises a product identifier identifying the product and an access message authenticator based on a cryptographic key; and

causing the access message to be sent to the merchant computer, the merchant computer being programmed to receive the access message, to cause the access message authenticator to be verified to ensure that the access message authenticator was created using the cryptographic key, and to cause the product to be received by the user desiring to buy the product.

31. A network-based sales system, comprising:

at least one buyer computer for operation by a user desiring to buy a product;

- at least one merchant computer; and
- at least one payment computer;
- the buyer computer, the merchant computer, and the payment computer being interconnected by a public packet switched computer network;
- the buyer computer being programmed to receive a request from a user for purchasing a product, and to cause a payment message to be sent over the network to the payment computer;
- the payment computer being programmed to receive the payment message, and, if purchase of the product by the user has not been previously recorded in a settlement database, to cause the user to be charged for the product and to create a new record in the settlement database reflecting purchase of the product by the user, to cause an access message to be created, and to cause the access message to be sent over the network to the merchant computer, and
- the merchant computer being programmed to receive the 20 access message and to cause the user to receive the product.
- 32. The network-based sales system of claim 31 wherein: the payment computer is programmed to cause the access message to be created using a cryptographic key; and 25
- at least one of the computers is programmed to use the access message in a cryptographic process to ensure that the user has paid for the product.
- 33. A method of operating a payment computer in a public packet switched computer network comprising at least one buyer computer for operation by a user desiring to buy a product, at least one merchant computer, and at least one payment computer, the method comprising the steps of:
  - receiving, at the payment computer, a payment message that the buyer computer has caused to be sent over the network to the payment computer in response to a request from a user for purchasing a product, and, if purchase of the product by the user has not been previously recorded in a settlement database, causing the user to be charged for the product and creating a new record in the settlement database reflecting purchase of the product by the user;
  - causing an access message to be created; and
  - causing the access message to be sent over the network to 45 the merchant computer, the merchant computer being programmed to receive the access message and to cause the user to receive the product.
- 34. The method of claim 33 wherein at least one of the computers is programmed to use the access message in a 50 cryptographic process to ensure that the user has paid for the product.
  - 35. A network-based sales system, comprising:
  - at least one buyer computer for operation by a user desiring to buy products;
  - at least one shopping cart computer, and
  - a shopping cart database connected to the shopping cart computer;
  - the buyer computer and the shopping cart computer being 60 interconnected by a public packet switched computer petwork:
  - the buyer computer being programmed to receive a plurality of requests from a user to add a plurality of respective products to a shopping cart in the shopping 65 cart database, and, in response to the requests to add the products, to send a plurality of respective shopping cart

18

- messages over the network to the shopping cart computer each of which comprises a product identifier identifying one of the plurality of products;
- the shopping cart computer being programmed to receive the plurality of shopping cart messages, and to modify the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart; and
- the buyer computer being programmed to receive a request from the user to purchase the plurality of products added to the shopping cart and to cause a payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart;
- the shopping cart being a stored representation of a collection of products, the shopping cart database being a database of stored representations of collections of products, and the shopping cart computer being a computer that modifies the stored representations of collections of products in the database.
- 36. A method of operating a shopping cart computer in a public packet switched computer network comprising at least one buyer computer for operation by a user desiring to buy products, at least one shopping cart computer, and a shopping cart database connected to the shopping cart computer, the method comprising the steps of:
  - receiving, at the shopping cart computer, a plurality of shopping cart messages sent over the network to the shopping cart computer by the buyer computer in response to receipt of a plurality of requests from a user to add a plurality of respective products to a shopping cart in the shopping cart database, each of the shopping cart messages comprising a product identifier identifying one of the plurality of products; and
  - modifying the shopping cart in the shopping cart database to reflect the plurality of requests to add the plurality of products to the shopping cart;
  - the buyer computer being programmed to receive a request from the user to purchase the plurality of products added to the shopping cart and to cause a payment message to be activated to initiate a payment transaction for the plurality of products added to the shopping cart;
  - the shopping cart being a stored representation of a collection of products, the shopping cart database being a database of stored representations of collections of products, and the shopping cart computer being a computer that modifies the stored representations of collections of products in the database.
  - 37. A network-based sales system, comprising:
  - a merchant database comprising a plurality of digital advertisements and a plurality of respective product fulfillment items;
  - at least one creation computer for creating the merchant database; and
  - at least one merchant computer for causing the digital advertisements to be transmitted to a user and for causing advertised products to be transmitted to the user:
  - the creation computer and the merchant computer being interconnected by a public packet switched computer network:
  - the creation computer being programmed to create the merchant database, and to transmit the digital advertisements and the product fulfillment items over the network to the merchant computer;

19

the merchant computer being programmed to receive the digital advertisements and product fulfillment items over the network, to receive over the network a request for a digital advertisement from a user, to cause the digital advertisement to be sent to the user over the 5 network, to receive over the network from the user a product request message identifying an advertised product, and to cause the product to be sent to the user in accordance with a product fulfillment item corresponding to the product;

at least a portion of the digital advertisements transmitted by the creation computer to the merchant computer over the network being authenticated by at least one digital signature.

38. A method of operating a merchant computer in a 15 network-based sales system comprising a merchant database that comprises a plurality of digital advertisements and a plurality of respective product fulfillment items, at least one creation computer for creating the merchant database, and at least one merchant computer for causing the digital adver- 20 tisements to be transmitted to a user and for causing advertised products to be transmitted to the user, the creation computer and the merchant computer being interconnected by a public packet switched computer network, the method comprising the steps of:

20

receiving, at the merchant computer, the digital advertisements and the product fulfillment items, the digital advertisements and the product fulfillment items having been transmitted over the network to the merchant computer by the creation computer, the merchant database comprising the digital advertisements and the product fulfillment items having been created by the creation computer,

receiving over the network a request for a digital advertisement from a user;

causing the digital advertisement to be sent to the user over the network;

receiving over the network from the user a product request message identifying an advertised product; and

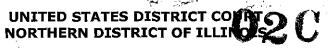
causing the product to be sent to the user in accordance with a product fulfillment item corresponding to the product;

at least a portion of the digital advertisements transmitted by the creation computer to the merchant computer over the network being authenticated by at least one digital signature.

Document 1

Filed 10/11/2002

Page 79 of 8 page 1 of 1 JUDGE HOLDERMAN



## **Civil Cover Sheet**

MAGISTRATE JUDGE DENLOW

This automated JS-44 conforms generally to the manual JS-44 approved by the Judicial Conference of the United States in September 1974. The data is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. The information contained herein neither replaces nor supplements the filing and service of pleadings or other papers as required by law. This form is authorized for use onlyin the Northern District of Illinois.

Plaintiff(s): DIVINE TECHNOLOGY VENTURES,

an Illinois general partnership

County of Residence: Cook County

Plaintiff's Attv:

See Attached

Defendant(s): DIRECT DISTRIBUTIONS ENTERPRISES, INC. d/b/a MALLCOM CORP. New York corporation

County of Residence:

Defendant's Atty:

II. Basis of Jurisdiction:

3. Federal Question (U.S. not a party)

III. Citizenship of Principal Parties (Diversity Cases Only)

> Plaintiff: - N/A Defendant:-N/A

IV. Origin:

1. Original Proceeding

V. Nature of Suit:

830 Patent

VI.Cause of Action:

35 U.S.C. 271 - patent infringement

VII. Requested in Complaint

Class Action: No Dollar Demand: Jury Demand: Yes

VIII. This case **IS NOT** a refiling of a previously dismissed case.

Date:

If any of this information is incorrect, please go back to the Civil Cover Sheet Input form using the Babletton in your prowser and change it. Once correct, print this form, sign and date it and submit it with your new civil action. Note: You may need to adjust the font size in your browser display to make the form print properly. Revised: 06/28/00

### **ATTACHMENT**

1(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

Michael J. Abernathy
Robert M. Barrett
Lisa A. Carroll
Jason A. Engel
BELL, BOYD & LLOYD LLC
Three First National Plaza
70 West Madison Street, Suite 3300
Chicago, Illinois 60602
(312) 372-1121

## UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF ILLINOIS

OCT 1 5 2002

JUDGE HOLDERMAN

In the Matter of DIVINE TECHNOLOGY VENTURES

MAGISTRATE JUDGE DENLOW

v.
DIRECT DISTRIBUTIONS ENTERPRISES, INC. 4/b/a MALLCOM CORP.

Case Number

## APPEARANCES ARE HEREBY FILED BY THE UNDERSIGNED AS ATTORNEY(S) FOR: DIVINE TECHNOLOGY VENTURES Plaintiff

(A)	(B)
SIGNATURE	SIGNATURE 2
Michael aluan	SIGNATURE
NAME TO COULT TO THE TOTAL TO T	NAME
Michael J. Abernathy	Robert M. Barrett
FIRM	FIDM
Bell, Boyd & Lloyd LLC	Bell, Boyd & Lloyd LLC
STREET ADDRESS	STREET ADDRESS
70 W. Madison Street, Suite 3300	70 W. Madison Street, Suite 3300
CITY/STATE/ZIP	CITY/STATE/ZIP
Chicago, Illinois 60602	Chicago, Illinois 60602
TELEPHONE NUMBER	
(312) 372-1121	(312) 372-1121
IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)
6183736	6180039
MEMBER OF TRIAL BAR? YES X NO	MEMBER OF TRIAL BAR? YES X NO
TRIAL ATTORNEY? YES X NO	TRIAL ATTORNEY? YES X NO
DESIGNATED AS LOCAL COUNSEL? YES NO X	DESIGNATED AS LOCAL COUNSEL? YES NO X
(C)	(D)
(C)	(D)
SIGNATURE (C)	SIGNATURE (D)
SIGNATURE / / / /	SIGNATURE ASON A SI
SIGNATURE  /: //	SIGNATURE ASSON ASL
NAME Lisa A. Carroll	SIGNATURE  NAME  Jason A. Engel  FIRM
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS	SIGNATURE  NAME  Jason A. Engel
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6255717	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6274878
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)	NAME Jason A. Engel FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE)
NAME Lisa A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6255717	NAME Jason A. Engel  FIRM Bell, Boyd & Lloyd LLC  STREET ADDRESS 70 W. Madison Street, Suite 3300  CITY/STATE/IP Chicago, Illinois 60602  TELEPHONE NUMBER (312) 372-1121  IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6274878
NAME LISA A. Carroll FIRM Bell, Boyd & Lloyd LLC STREET ADDRESS 70 W. Madison Street, Suite 3300 CITY/STATE/ZIP Chicago, Illinois 60602 TELEPHONE NUMBER (312) 372-1121 IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6255717 MEMBER OF TRIAL BAR? YES NO X	NAME Jason A. Engel  FIRM  Bell, Boyd & Lloyd LLC  STREET ADDRESS 70 W. Madison Street, Suite 3300  CITY/STATE/IP  Chicago, Illinois 60602  TELEPHONE NUMBER (312) 372-1121  IDENTIFICATION NUMBER (SEE ITEM 4 ON REVERSE) 6274878  MEMBER OF TRIAL BAR?  YES NO